

# LogiCORE IP Asynchronous Sample Rate Converter v2.0

## *Product Guide for Vivado Design Suite*

PG039 October 2, 2013

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## Introduction

The LogiCORE™ IP Asynchronous Sample Rate Converter (ASRC) core converts stereo audio from one sample frequency to another. The input and output sample frequencies can be an arbitrary fraction of one another or the same frequency, but based on different clocks. The output is a band-limited version of the input resampled to match the output sample timing.

## Features

- Fully asynchronous
- Typical THD+N: -130 dB (Range: -125 dB to -139 dB)
- Input and output audio word width of 24 bits.
- Choice of automatic ratio detection or manual ratio control. Automatic ratio detection includes rate change tracking (varispeed).
- Up-conversion, down-conversion, and 1:1 asynchronous conversion support
- Sample clock jitter rejection. Retains full performance over AES3 jitter tolerance curve [Ref 1].
- Input rates ranging from 8 kHz to 192 kHz, continuous
- Output rates ranging from 8 kHz to 192 kHz, continuous
- Conversion ratio ranging from 1:7.5 (down) to 8:1 (up), continuous
- Low deterministic latency
- Lock status outputs provided for external muting

| LogiCORE IP Facts Table   |  |
|---|--|
| Core Specifics  |  |
| Supported Device Family <sup>(1)</sup>  | Zynq®-7000, Artix®-7, Virtex®-7, Kintex®-7   |
| Supported User Interfaces   | Not Applicable   |
| Resources   | See Table 2-2.   |
| Provided with Core  |  |
| Design Files  | Verilog RTL  |
| Example Design  | Provided Separately <sup>(3)</sup><br>See XAPP1014 [Ref 4]                                   |
| Test Bench  | Verilog  |
| Constraints File  | XDC  |
| Simulation Model  | Verilog Behavioral   |
| Supported S/W Driver <sup>(2)</sup>   | N/A  |
| Tested Design Flows <sup>(4)</sup>  |  |
| Design Entry  | Vivado® Design Suite<br>IP Integrator  |
| Simulation  | For supported simulators, see the <a href="#">Xilinx Design Tools: Release Notes Guide</a> . |
| Synthesis   | Vivado Synthesis   |
| Support   |  |
| Provided by Xilinx @ <a href="http://www.xilinx.com/support">www.xilinx.com/support</a> |  |

### Notes:

- For a complete listing of supported devices, see the Vivado IP Catalog.
- Standalone driver details can be found in the SDK directory (<install\_directory>/doc/usenglish/xilinx\_drivers.htm). Linux OS and driver support information is available from [//wiki.xilinx.com](http://wiki.xilinx.com).
- Example designs are provided in FPGA device-specific application notes
- For the supported versions of the tools, see the [Xilinx Design Tools: Release Notes Guide](#).

## Overview

The Asynchronous Sample Rate Converter (ASRC) core, shown in [Figure 1-1](#), consists of two main functional units: Ratio Control and Resampler. The Ratio Control function provides ratio detection and input sample storage. The Resampler function interpolates the correct phase of the filter. Its FIR filter applies the calculated filter coefficients to the set of input samples to form an output sample.

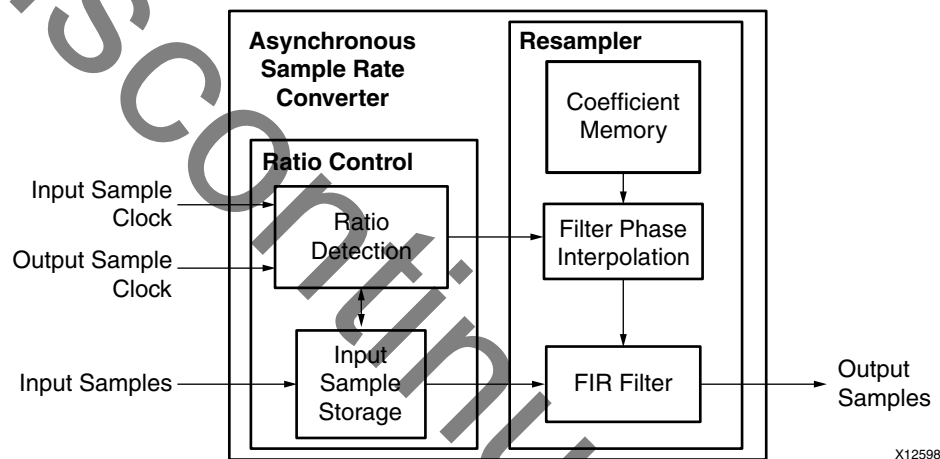


Figure 1-1: ASRC Top-Level Block Diagram

The Hardware Description Language (HDL) code implementing the ASRC core breaks these functions down into modules with functional boundaries. See [Functional Description, page 11](#), for details about the functional blocks, and [Modules, page 43](#), for descriptions of the modules and how they fit together.

## Feature Summary

The fully asynchronous ASRC core converts stereo audio from one sample frequency to another. The input and output audio word width is 24 bits. Input and output sample frequencies can be an arbitrary fraction of one another or the same frequency, but based on different clocks.

Input rates range from 8 kHz to 192 kHz, continuous. Output rates range from 8 kHz to 192 kHz, continuous. The output is a band-limited version of the input, resampled to match

the output sample timing. Conversion ratio ranges from 1:7.5 (down) to 8:1 (up), continuous.

The core provides sample clock jitter rejection. It retains full performance over the AES3 jitter tolerance curve.

The core uses a DSP48 slice as its main math element, and block RAM for input sample buffers and storage of the prototype filter.

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## Licensing and Ordering Information

This Xilinx LogiCORE IP module is provided at no additional cost with the Xilinx Vivado Design Suite under the terms of the [Xilinx End User License](#). Information about this and other Xilinx LogiCORE IP modules is available at the [Xilinx Intellectual Property](#) page. For information about pricing and availability of other Xilinx LogiCORE IP modules and tools, contact your [local Xilinx sales representative](#).

## Product Specification

### Performance

#### Maximum Frequencies

Table 2-1 lists the clock frequency performance for Virtex®-7, Kintex™-7, Artix™-7, Zynq™-7000 FPGAs.

Table 2-1: Clock Frequency Performance

| Device Family | Speed | Processing Clock Frequency (mclk) | General Maximum Sample Frequency |
|---------------|-------|-----------------------------------|----------------------------------|
| Virtex-7      | 1     | 281 MHz                           | 208 KHz                          |
| Kintex-7      | 1     | 274 MHz                           | 200 KHz                          |
| Artix-7       | 1     | 204 MHz                           | 148 KHz                          |
| Zynq-7000     | 1     | 281 MHz                           | 208 KHz                          |

#### Latency

For any given conversion ratio, the latency of the design is fixed. It is determined by the phase delay of the FIR filter and fill level of the input FIFO.

The FIFO level is fixed at 16, but the size of the FIR filter, and consequently its phase delay, vary in the case of down-conversion. Therefore, the formula for latency depends on whether the sample rate converted is performing up-conversion or down-conversion.

The formula for determining up-conversion latency is given in Equation 2-1. The filter length is 64. Therefore, the phase delay is 32 sample periods. The latency in milliseconds depends on the input sample frequency.

$$\text{Latency} = \text{phase delay} + \text{FIFO delay} = 32 + 16 = 48 \text{ input sample periods} \quad \text{Equation 2-1}$$

The equation for down-conversion latency is given in Equation 2-2. For down-conversion, because the filter is spread across more samples, the phase delay and subsequently the latency are longer in terms of the number of input samples.

$$\text{Latency} = \text{phase delay} + \text{FIFO delay} = (32 * f_{\text{out}}/f_{\text{sin}}) + 16 \quad \text{Equation 2-2}$$

### Latency Example 1

48 kHz: 48 kHz conversion:  
Latency =  $32 + 16$   
= 48 input sample periods  
= 1 ms

### Latency Example 2

48 kHz: 96 kHz up-conversion:  
Latency =  $32 + 16$   
= 48 input sample periods  
= 1 ms

### Latency Example 3

32 kHz: 48 kHz up-conversion:  
Latency =  $32 + 16$   
= 48 input sample periods  
= 1.5 ms

### Latency Example 4

96 kHz: 48 kHz down-conversion:  
Latency =  $32 \cdot 2 + 16$   
= 80 input sample periods  
= 0.83 ms

### Latency Example 5

48 kHz: 44.1 kHz down-conversion:  
Latency =  $32 \cdot 48/44.1 + 16$   
= 50.83 input samples  
= 1.06 ms

In cases of changing frequency, the latency changes smoothly as specified in [Equation 2-1](#) and [Equation 2-2](#). For up-conversion, changes in input sample frequency result in changes in latency, while changes in output sample frequency do not. For down-conversion, changes in input or output sample frequency result in changes in latency.

---

## Throughput

The throughput of the ASRC core is determined by the input sample rate and the output sample rate. These, in turn, are limited by the processing clock frequency. See [Clock Frequencies in Chapter 5](#) for more information.



## Resource Utilization

Resources required for the ASRC core are listed for the Artix-7, Kintex-7, Virtex-7, and Zynq-7000 families in Table 2-2. These values were generated using Vivado design tools.

Table 2-2: Resource Utilization

| Family  | LUTs | FFs  | LUT-FF Pairs | Slices | 36K Bram | 18K Bram | DSP 48 |
|---------|------|------|--------------|--------|----------|----------|--------|
| Virtex7 | 1785 | 2694 | 2674         | 868    | 2        | 1        | 12     |
| Kintex7 | 1785 | 2694 | 2540         | 790    | 2        | 1        | 12     |
| Artix7  | 1754 | 2684 | 2491         | 775    | 2        | 1        | 12     |
| Zynq    | 1782 | 2693 | 2538         | 792    | 2        | 1        | 12     |

## Port Descriptions

Table 2-3 defines the ports for the ASRC core.

Table 2-3: ASRC Ports

| Name             | I/O | Width | Description   |
|------------------|-----|-------|---|
| mclk             | I   | 1     | High frequency processing clock   |
| clkin            | I   | 1     | Sample rate pulse for input samples   |
| clkout           | I   | 1     | Sample rate pulse for output samples  |
| reset            | I   | 1     | Asynchronous reset  |
| manual_ratio_en  | I   | 1     | Manual ratio control enable.<br>• 1 = manual mode, use manual_ratio;<br>• 0 = use automatic ratio tracking based on the frequency of clkin and clkout |
| manual_ratio     | I   | 26    | Manual ratio for manual ratio mode. Fin/Fout. The format is unsigned 4.22   |
| input_sample_1a  | I   | 24    | Input samples for stereo pair 1 channel a. Sampled at clkin.  |
| input_sample_1b  | I   | 24    | Input samples for stereo pair 1 channel b. Sampled at clkin.  |
| output_sample_1a | O   | 24    | Output samples for stereo pair 1, channel a. Valid at clkout.   |
| output_sample_1b | O   | 24    | Output samples for stereo pair 1, channel b. Valid at clkout.   |
| fifo_level_out   | O   | 9     | Input FIFO fill level. Useful for manual ratio management.  |
| calc_ratio_out   | O   | 26    | The calculated ratio Fclkout/Fclkin. The format is unsigned 4.22.   |

Table 2-3: ASRC Ports (Cont'd)

| Name          | I/O | Width | Description  |
|---------------|-----|-------|--|
| locked        | O   | 1     | Indicates that ratio tracking is in a locked state. That is, the input FIFO level is within the prescribed thresholds and stable. <ul style="list-style-type: none"> <li>• 1 = locked</li> <li>• 0 = not locked</li> </ul> |
| fifo_overflow | O   | 1     | The level of the input FIFO is beyond the safe operating range, and therefore, loss of input samples is likely. <ul style="list-style-type: none"> <li>• 1 = overflow</li> <li>• 0 = no overflow</li> </ul>                |

Discontinued IP

# Designing with the Core

This chapter includes guidelines and additional information to facilitate designing with the Asynchronous Sample Rate Converter core.

---

## Functional Description

This section discusses the functional blocks found in the ASRC core, including:

- [Ratio Control](#)
- [Input Sample Storage](#)
- [Resampler Functional Block](#)
- [Control](#)

### Ratio Control

Ratio control can be manual or automatic. In some applications, it is desirable to tweak the ratio according to an algorithm with factors other than just the audio input and output rates: for example, controlling the audio-to-video latency within a frame synchronizer. This also allows the core to be used as a fixed-rate converter.

#### Manual Ratio Control

For manual ratio control, the ratio is controlled externally and input directly into the ASRC. The values used for automatic ratio adjustment, the calculated ratio and the FIFO fill level from the input FIFO, are provided as outputs for status monitoring, and to facilitate external control.

#### Automatic Ratio Control

For automatic ratio control, a sophisticated feedback control system is used to track rate changes under varispeed conditions, yet provide stable and high-quality conversion under steady-state conditions.

Automatic ratio control uses one of two algorithms depending on whether the input rate is changing. At startup, and whenever the input or output rate changes, rate-change tracking

(varispeed) mode is used to quickly adjust to the correct ratio, and to adjust the level of the input FIFO to the proper level. In this mode, the ratio correction term grows exponentially with error to quickly track large rate changes and reduce the error to low levels. See [Figure 3-1](#).

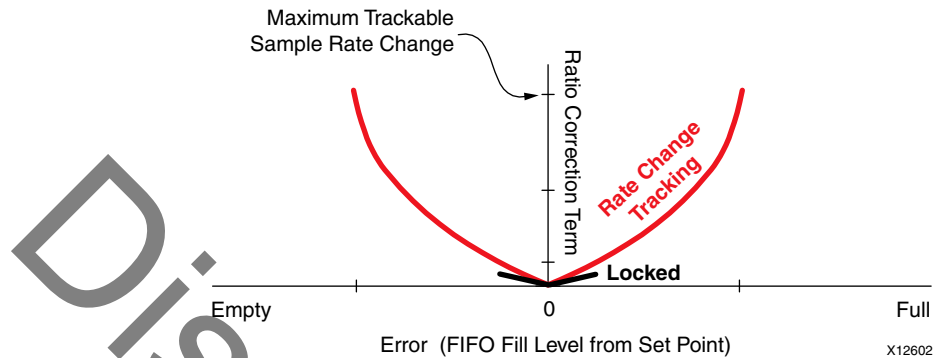


Figure 3-1: Error Correction Curves

### Locked Mode

After a small error has been achieved and the ratio is stable, an automatic switch is made to locked mode. The locked mode:

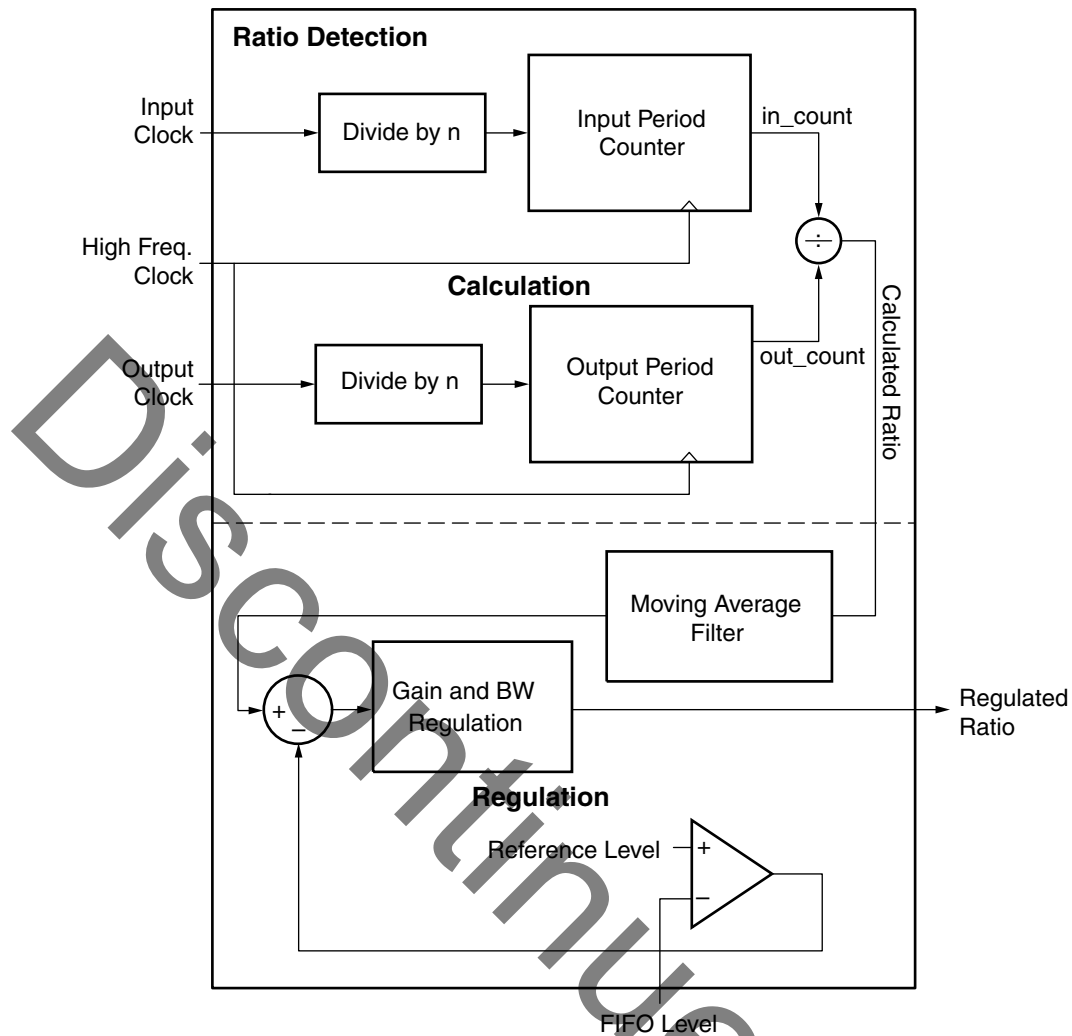
- Limits the amount and rate of change of the ratio to achieve maximum audio quality.
- Can track small drifts in the clock frequencies.

However, if a large rate change occurs, the error term exceeds the locked range, and the mode automatically shifts to rate change tracking. When a small error is achieved and the ratio is stable, the switch to locked mode occurs again. In this manner, changes in the sample frequencies, large and small, are continuously and smoothly tracked.

The input samples are buffered by the `ring_buffer_gold` module in the Ratio Control block. When a new output sample is required, the set of input samples required for the FIR filter convolution are sent to the resampler.

### Ratio Detection

The Ratio Detection block is implemented in the `ratio_calc` and `ratio_filt` modules of the core. A ratio is computed by measuring the period of the input and output clock with a high-frequency clock that, in general, is not related to either the input or output clocks. This is shown in the top section of [Figure 3-2](#).



X12616

Figure 3-2: Ratio Detection Block Diagram

To improve the accuracy of this calculation and mitigate the effects of jitter, the input and output clocks are measured over 1024 cycles. The input period is divided by the output period to obtain a calculated ratio.

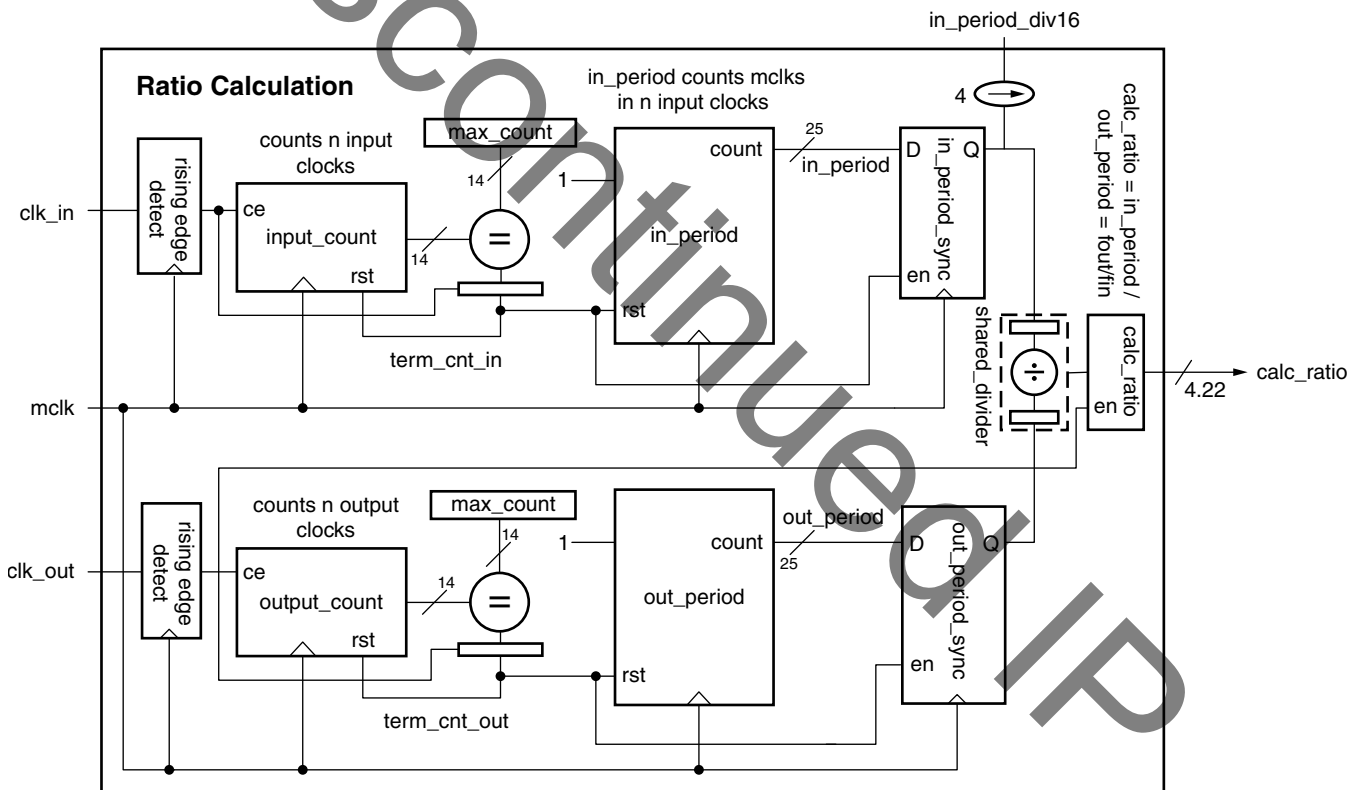
To further attenuate sample clock jitter, the calculated ratio passes through a moving-average filter contained in the `ratio_filt` module. The moving-average filter is applied only during locked mode, when the input frequency is stable. In frequency tracking mode, the moving-average filter is bypassed. The most current calculated ratio is used for ratio regulation in this mode.

To regulate the level of the input FIFO (and thus the latency), the FIFO fill level is compared with a reference level in the regulation section. The difference is used as an error signal to adjust the ratio. Because the ratio determines the position of each new output sample relative to the input samples, it effectively controls the speed at which input samples are processed.

## Ratio Calculation

Figure 3-3 illustrates how the input period measurement is made. The `input_count` block counts input clocks on each rising edge. The `max_count` specifies how many input clocks to count before resetting the counter. It is a parameter in the core and is nominally set to 1024. The `term_cnt_in` signal pulses once every `max_count + 1` input clocks. This signal resets the `in_period` counter as well as `input_count`. The `in_period` counter counts the number of `mclk` cycles (`mclk` is the high-frequency processing clock) that occur during `max_count + 1` input clocks.

At every pulse of `term_cnt_in`, the `in_period_sync` register stores the latest `in_period` count, and the counting begins again. The `in_period` count resets to one so that the resulting count is the actual number of `mclk` cycles over the specified period, not number of cycles - 1. The `in_period_sync` value is shifted right by four and sent to the sample storage section as `in_period_div16`.



X12615

Figure 3-3: Ratio Calculation Detailed Block Diagram

The timing diagram in Figure 3-4 illustrates the operation of the ratio calculation section for the `clk_in` period measurement.

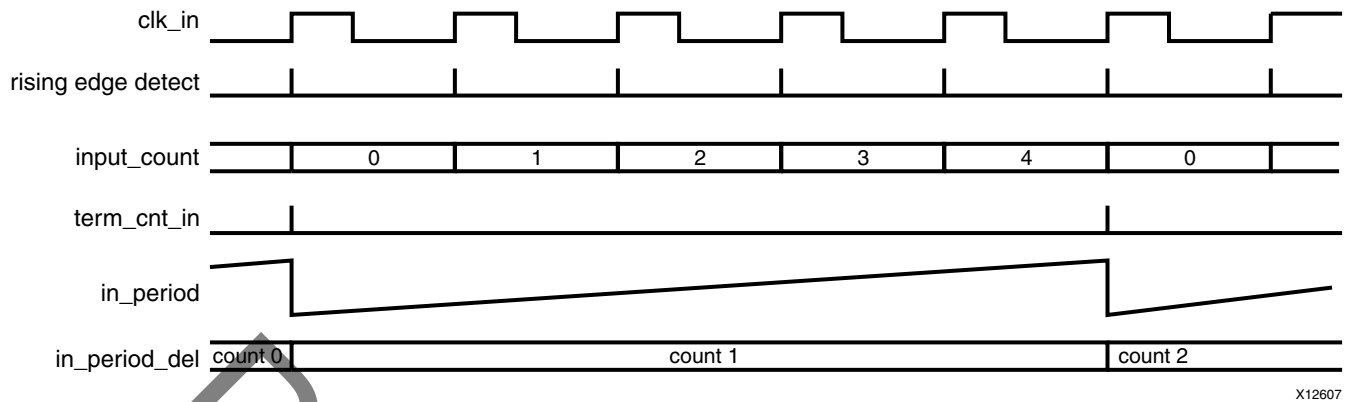


Figure 3-4: Input Period Measurement Waveforms with max\_count = 4

The output clock period is measured in the same fashion. The ratio is calculated based on the timing of the output clock. To obtain the calculated ratio, `calc_ratio`, `in_period_sync` is divided by `out_period_sync`. This is done by `divide_sign_fract`, a multicycle pipelined divider in the `timing_control_multi_ch` module. The `calc_ratio` signal is sent to the ratio regulation section. The `calc_ratio` signal allows for a range of 0 to 15 with 22 fractional bits. The calculated ratio is used internally for automatic ratio tracking, and is also output from the ASRC core to facilitate external control, if manual ratio mode is selected.

### Ratio Filtering for Jitter Tolerance

The AES3-2003 standard [Ref 1] specifies jitter tolerance for AES receivers. This curve is shown in Figure 3-5. The AES receiver must recover the data correctly in the presence of jitter. This jitter in the timing of the audio data is propagated to the sample rate converter. Therefore, the SRC should also have jitter tolerance equivalent to that of the AES receiver.

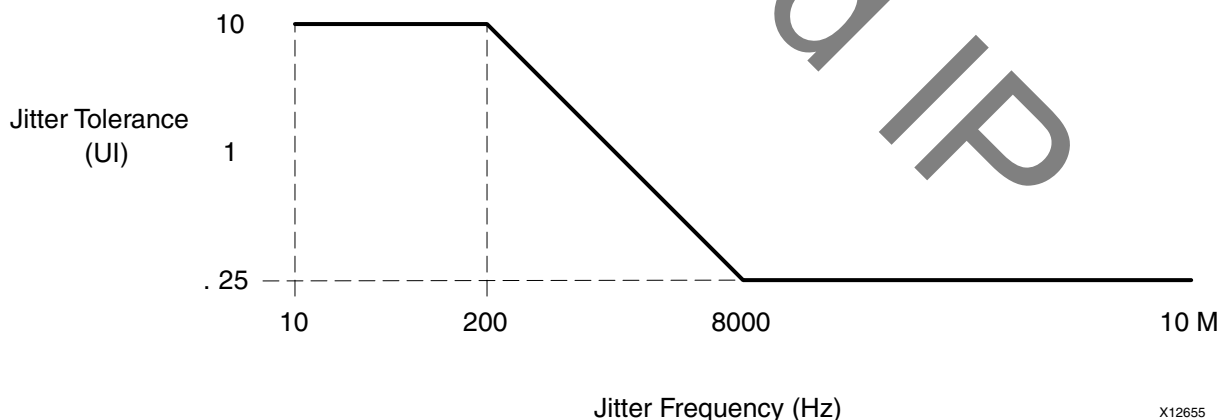


Figure 3-5: Jitter Tolerance Curve

In the core, the ratio is filtered for added jitter tolerance. During locked mode operation, the calculated ratio is filtered using a moving-average FIR filter to prevent short-term

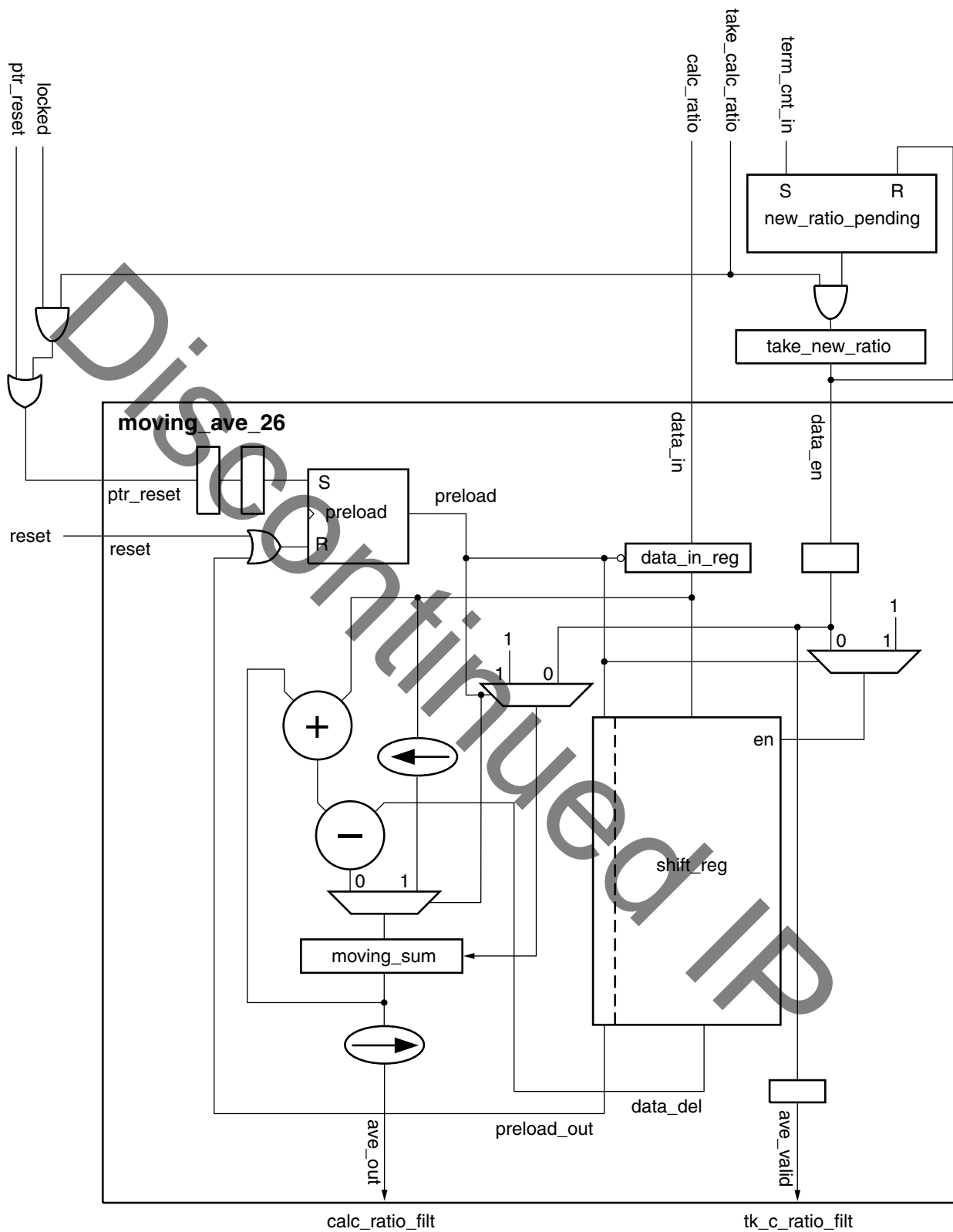
variations in sampling frequency from causing harmonic distortion in the output sample stream. In other words, it attenuates the effects of input sample clock jitter. The result is no increase in distortion in the presence of jitter. Full performance is retained over the entire range of the AES3-2003 Jitter Tolerance Curve.

Figure 3-6 is a block diagram of the ratio filter. It is a recursive implementation requiring only one add and one subtract. As each new data point enters, it is added into the average and the oldest data is subtracted. A shift register is used for the storage element. A 16-location shift register is implemented very efficiently in SRL elements requiring only one LUT per input data bit. The calculated ratio has 4 integer and 22 fractional bits.

An additional bit of storage is used as a data valid to track data through the shift register. This bit is required for the pre-load function, which simultaneously bypasses the filter operation and pre-loads every location in the shift register with the current value of the input data.

Discontinued IP





X12617

Figure 3-6: Ratio Filter

When the `ptr_reset` input signal goes High, the `preload_out` flag is set High, indicating the block is in pre-load mode. The pre-load mode holds the `data_in_reg`, and the current data in this register is propagated directly to the `moving_sum` register and on to `ave_out`. At the same time, the shift register enable is forced active, and the shift register begins shifting in the value `data_in_reg`. The pre-load bit also shifts through the shift register each time in parallel with the data. When the shift register has shifted the input value through every location, the pre-load bit is at the output of the shift register in the form of `preload_out`. This indicates that the pre-load cycle is complete. The contents of the shift register and the output register all equal the current input value in `data_in_reg`. This forces a reset of the pre-load register, which returns the module to normal filtering mode.

This pre-load functionality is used to bypass the moving-average filter when the ratio section is not locked (frequency tracking mode). This allows for better tracking and faster lock. When locked mode is entered, each new ratio calculated is averaged with the values pre-loaded in the shift register. The `term_cnt_in` input signals that a new input clock count has completed. The `take_calc_ratio` signal means a new output clock count has completed, as well as a divide operation to obtain `calc_ratio`. The combination of `term_cnt_in` and `take_calc_ratio` is used to form `take_new_ratio`, meaning a new data value can be taken into the moving average filter.

## Ratio Regulation

The ratio regulation section adjusts the calculated ratio to regulate the input FIFO level (see [Figure 3-7](#)). The current `fifo_level` is compared with the target level, `fifo_setpoint`. The difference is used as an `error_term` that adds an offset to `calc_ratio`. The error term is conditioned separately for locked mode and rate change mode. Parameters in the HDL specify the gain in the error term, the error dead zone, and restrictions in the ratio slew rate, if any. These parameters establish the trade-off between tight sample rate tracking and harmonic distortion performance. The trade-off for extremely tight rate-change tracking is the presence of harmonic distortion components caused by the frequent, though minute, rate adjustments.

A small dead zone in the error term (that is, an error threshold below which no adjustment is made to the ratio) makes rate adjustments happen less often. This reduces the distortion component of the rate change. However, rate-change tracking is slower and less accurate.

For locked mode, the default settings in the core:

- Allow a small dead zone (1/4 input-sample time).
- Add no additional gain.
- Allow one LSB step of rate change per output sample.

The frequency-rate tracking mode has no dead zone and no additional gain. This balance:

- Allows good rate-change slew.

- Allows quick, reliable recovery from loss of input.
- Provides good jitter rejection.

The amount and rate of the offset from the calculated ratio depends on whether or not locked mode is engaged. To enter locked mode, `error_term` must be less than four input samples for five consecutive `term_count_out` times. Unlocked mode (also called rate-change tracking mode) is entered any time the error is more than six samples.

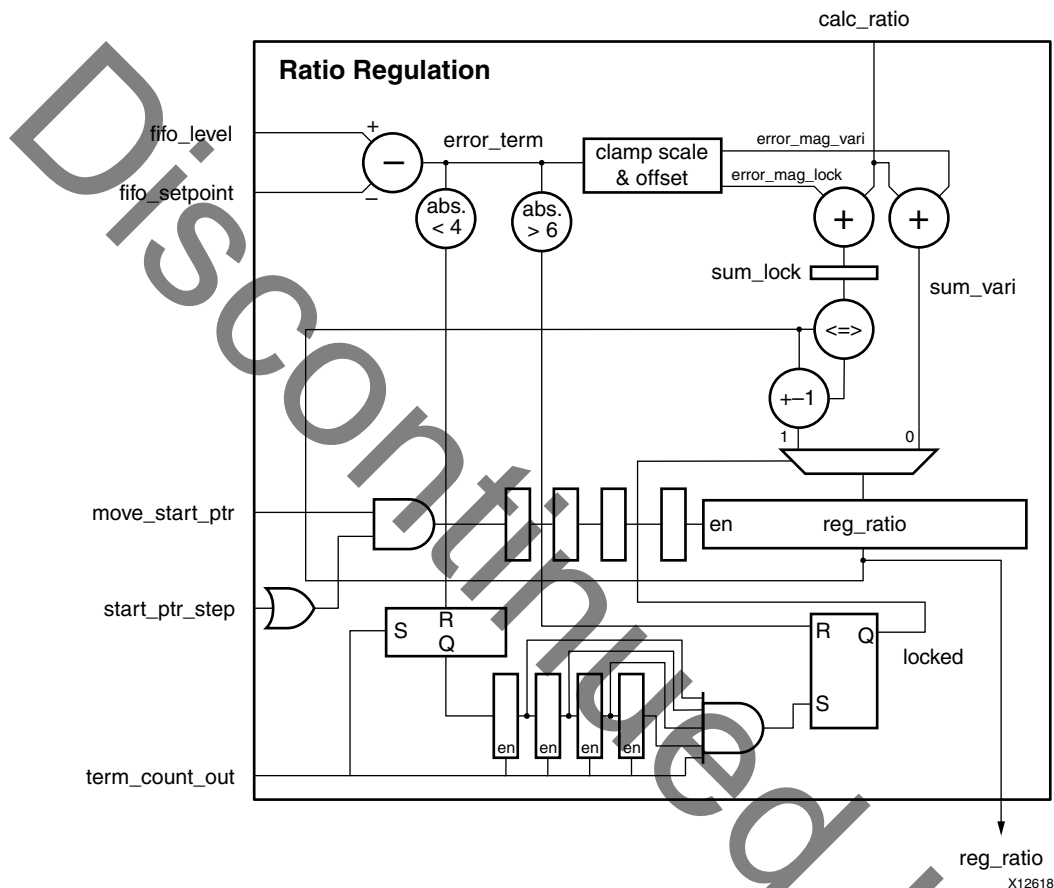


Figure 3-7: Detailed Block Diagram of Ratio Regulation Section

In the rate-change tracking mode, the error term is added directly to `calc_ratio`. It also has an exponential gain such that the correction factor is multiplied at higher error ratios. This facilitates faster locking at start-up and after frequency changes without dropping or repeating samples.

In the locked mode, the error term is used to increment or decrement the ratio at a maximum rate of one LSB per output sample. The current `reg_ratio` is fed back and compared with the target ratio, `sum_lock`. If the target is different from `reg_ratio`, 1 is either added to or subtracted from the current ratio. The `reg_ratio` value is updated when the set of input samples used for the convolution changes, indicated by a pulse on `move_start_ptr` with a non-zero value of `start_ptr_step`. This limits the slew rate of the ratio to 0.24 ppm per output sample for optimal audio performance.

This mode can track slow frequency variations because `calc_ratio` is periodically updated, and the FIFO level is updated every output sample with subsample accuracy. This is discussed in the description of the ratio control functional block.

This high degree of accuracy of `fifo_level` also enables the ratio detection circuit to maintain a deterministic latency when the clocks are stable.

That is, for given input and output sample rates:

- The latency varies by only a fraction of a sample time.
- The latency for any two instances of the SRC is the same to within a fraction of a sample time.

### Lock Status Indicators

Two top-level output signals indicate the status of the ratio control section: `locked` and `fifo_overflow`. These two signals can be used to mute the audio when:

- The sample rate converter is outside its bounds of normal operation, or
- The input sample rate is changing.

When `locked` is High, the ratio control is in locked mode, with minimal FIFO level error and maximum audio quality.

When `locked` is Low, rate-change mode is active, meaning a more aggressive rate change tracking and correspondingly lowered THD + N performance. The `fifo_overflow` signal indicates that the input sample FIFO has overflowed or underflowed, and therefore the output audio is corrupted. This could occur at the application or removal of the input audio stream or during extremely sharp sample rate changes.

Audio quality is severely compromised when `fifo_overflow` is asserted. Depending on the application, rate-change tracking mode audio might or might not be acceptable. These two status bits are provided so that muting can be performed externally when instability in the sample rates could cause unacceptable distortions.

### Input Sample Storage

The input samples are stored in a ring buffer as shown in [Figure 3-8](#), implemented in block RAM. Two data words of 24 bits each (one for each channel of the channel pair) can be stored per memory location. The ring buffer is 48 bits wide x 512 locations deep, enough to accommodate spreading the prototype filter by a factor of 7.5 plus space to act as an input FIFO.

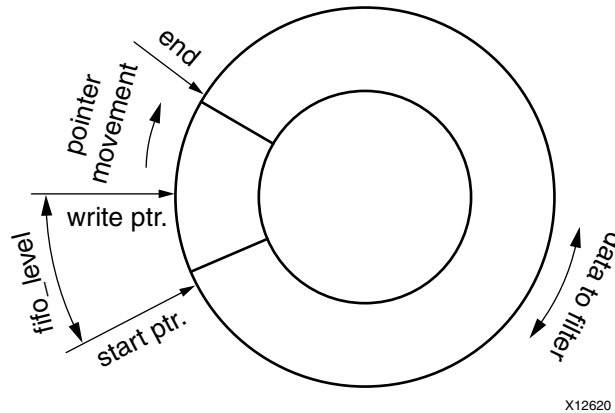


Figure 3-8: Ring Buffer

Two pointers (the write pointer and the start pointer) move through the addresses in the buffer in circular fashion. They designate the locations into which input samples are to be written, and out of which input samples are to be read for the filtering operation.

Input samples are stored as they are received at the location indicated by `write_ptr`. The pointer is incremented each time a new sample is received. The first sample to be used in the FIR filter is indicated by `start_ptr`.

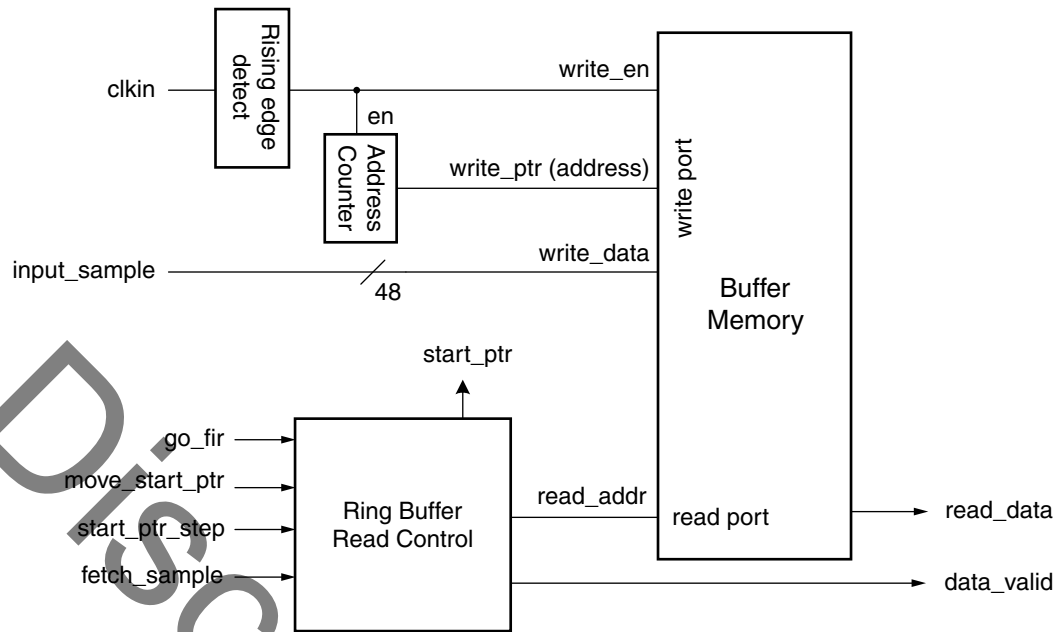
For each output sample, a set of input samples is sent to the FIR filter, starting with the newest (at `start_ptr`) to the oldest (at `end`). The `start_ptr` is updated each time a new output sample is created.

The locations between `write_ptr` and `start_ptr` serve as an input FIFO. The difference between the two pointers is used as the `fifo_level` value. This is used as a feedback mechanism for the ratio.

The net effect is to change slightly the rate at which input samples are used to keep the FIFO at a predetermined level. The level is nominally 16 locations. The `fifo_level` value is also output from the ASRC core to facilitate external control, if manual ratio mode is selected.

## Input Storage

Figure 3-9 is a block diagram of the input storage section. The ring buffer consists of the buffer memory (using dual-port block memory) and control logic for reading and writing. For the write port, a write-enable pulse `write_en` is produced on the rising edge of `clk_in`, the input sample clock. This pulse is used to write sample data into memory and increment the address counter to the next address.



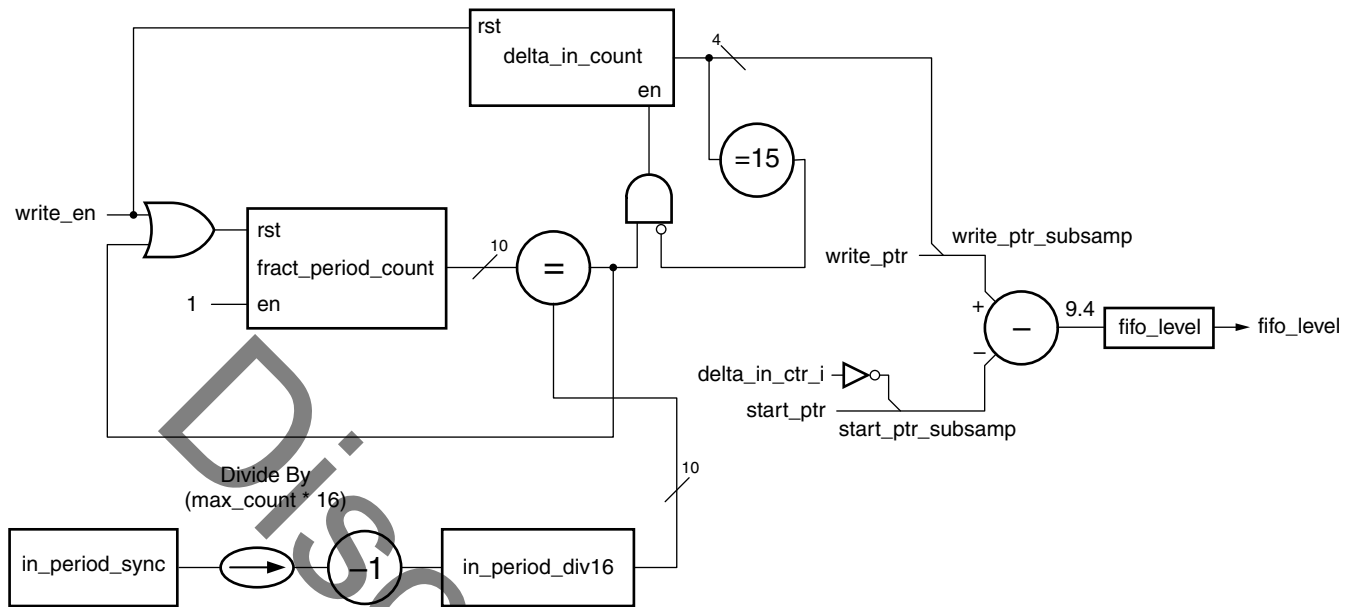
X12606

Figure 3-9: Input Buffer Storage Block Diagram

For the read port, `go_fir` indicates the start of a new FIR operation to produce an output sample. This resets `read_addr` to the `start_ptr` value. The `fetch_sample` signal pulses once for each input sample used in the convolution. Each time it pulses, `read_addr` is decremented. This sends sample data to the FIR filter in the newest-to-oldest order shown in Figure 3-8. The read control also generates an integer, `start_ptr`. The movement of this pointer is controlled by `move_start_ptr` and `start_ptr_step`. When `move_start_ptr` pulses, `start_ptr` is increased by `start_ptr_step`. The outputs of this section are input samples, `read_data`, and a `data_valid` flag.

Because `write_ptr` and `start_ptr` are updating at different times and possibly in different increments, the difference between them can vary widely, even if the ratio is correct and the input and output rates are perfectly stable. For example, if the ASRC core is performing a down-conversion by a factor of four, the write pointer increments four times during the time the `start_ptr` moves just once. For this reason, `fifo_level` varies by three over the period of a single output cycle. To reduce such fluctuations, `fifo_level` is updated only after `start_ptr` is updated.

The `fifo_level` is calculated to an accuracy of 1/16th of a sample by creating sub-sample accurate write and start pointers. As shown in Figure 3-10, the fractional bits for `start_ptr` come from the inverse of `delta_in_ctr_i`. This signal indicates the position of the current output sample with respect to input samples. For this reason, it represents a fractional start position. These bits are appended to `start_ptr` to form `start_ptr_subsamp`.



X12605

Figure 3-10: FIFO Level Calculation with Fractional Bits

The circuit in Figure 3-10 shows how the fractional bits for `write_ptr` are created. The `in_period_sync` register of the ratio calculation section contains an accurate count of the number of `mclk` periods in 1024 input clocks (the nominal `max_count`). This number is right-shifted so as to obtain the number of `mclk` periods in 1/16th of an input period. This number (`in_period_div16`) is subject to truncation errors, but it is accurate enough to use to create fractional `write_ptr` bits. The value `in_period_div16` is used as the terminal count for the `fract_period_cnt` counter. The `fract_period_cnt` counter, then, pulses every 1/16 input sample. It is resynchronized to the updating of the write pointer by `write_en`, the write enable to the ring buffer. The `delta_in_count` counter counts each 1/16 of an input sample time. This count saturates at 15, waiting for `write_en` to provide a reset. For this reason, subsample bits are created for `write_ptr` and appended to form `write_ptr_subsamp`.

The difference between `write_ptr_subsamp` and `start_ptr_subsamp` determines `fifo_level` with four fractional bits. This `fifo_level` changes only when `start_ptr` is updated and fed back to the ratio regulation section.

## Clock Domain Considerations

The rising edge of the input and output clock must be detected in several places. Because the processing clock `mclk` is asynchronous to both the input and output clocks, the handling of control signals and of data crossing these clock boundaries must be done with care.

First, the pulse width of the input clock and output clock must be wide enough that they are reliably sampled by `mclk`. Metastability can occur on occasion at clock boundaries and

should be properly handled. For example, the rising edge of the input clock is detected in the `mclk` clock domain. Rarely, the first register in the `mclk` domain experiences metastability. Except for extremely rare cases, measured in decades per event, the output of the first register settles and meets the setup requirements of the second register, so the output of the second register can be assumed to be reliable and likewise for the third register.

For this reason, these can be used to detect the rising edge of the asynchronous input. [Figure 3-11](#) shows a simple circuit that synchronizes the inputs into a new clock domain through `reg_1` and `reg_2`, then detects the rising edge when the input to `reg_3` is High, but the output is still Low. This circuit is used in the core as the interface to the input and output sample clocks.

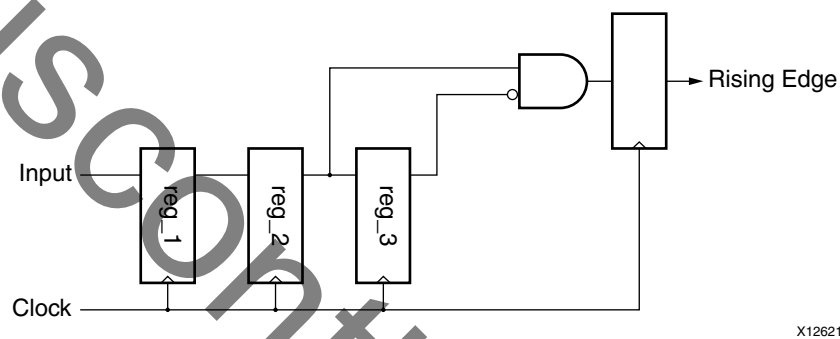


Figure 3-11: Rising Edge Detect

## Resampler Functional Block

The resampler creates a set of samples from the input samples based on the output-to-input ratio produced by the Ratio Detection section. Two major computational tasks are required to produce each output sample:

- Interpolate filter coefficients for the convolution based on the prototype filter.
- Perform the convolution of the interpolated coefficients with the corresponding set of input samples.



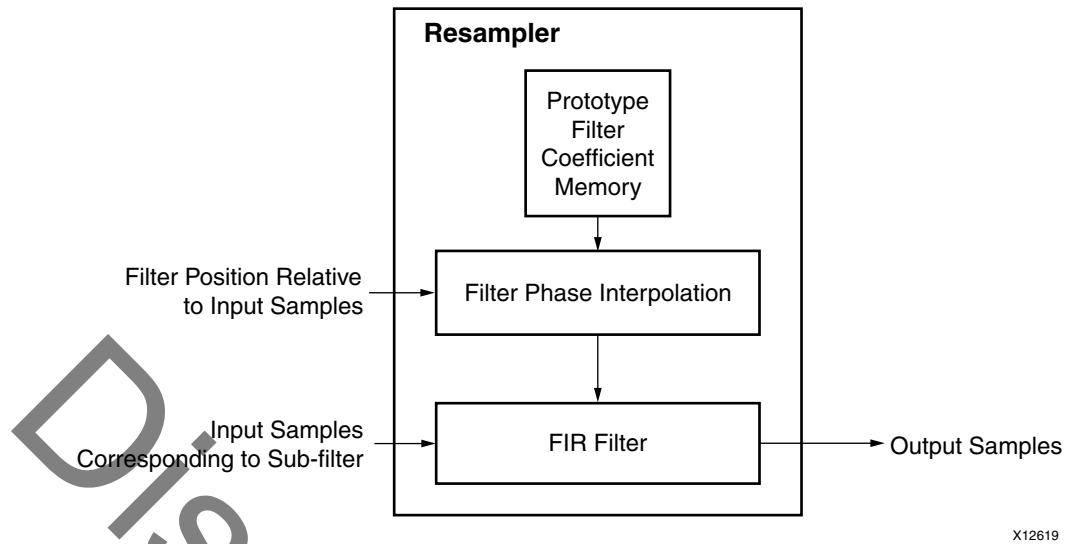


Figure 3-12: Resampler

## Prototype Filter

The prototype filter was designed using the Filter Design and Analysis tool of MATLAB®. It is a low-pass equiripple filter consisting of 64 phases of 64 taps each. This is done with a filter order of 4096 and frequency specifications of 1/64 of the desired response of each phase. The resulting coefficients are scaled by a factor of 64 to fully utilize the coefficient bit width and to maintain the signal amplitude. The prototype filter is symmetric, so only half of the coefficients are stored. Because the filter is of order 4096, there are actually 4097 coefficients. The center coefficient is stored in a 24-bit register, and the rest are stored in block RAM of size 2048 x 24.

The transition band of the filter is symmetric about the Nyquist frequency:

```
wpass = Nyquist - 9.3%
wstop = Nyquist + 9.3%
```

The resulting filter has a passband of 0.4535 times the sampling rate and a stop band of 0.5465 times the sampling rate.

This yields a passband of 20 kHz for a sampling rate of 44.1 kHz, for example. The parameters used for the prototype filter in the core are shown in [Table 3-1](#).

Table 3-1: Prototype Filter Parameters

| Parameter                     | Value               | Comment    |
|-------------------------------|---------------------|------------|
| Response Type                 | Low pass            |            |
| Design Method                 | Equiripple          |            |
| Filter Order                  | 4096                |            |
| Frequency specification wpass | $1/64 * 2 * 0.4535$ | Normalized |

Table 3-1: Prototype Filter Parameters (Cont'd)

| Parameter                     | Value               | Comment    |
|-------------------------------|---------------------|------------|
| Frequency specification wstop | $1/64 * 2 * 0.5465$ | Normalized |
| Magnitude specification wpass | 1                   |            |
| Magnitude specification wstop | 50000               |            |
| Density Factor                | 16                  |            |
| Passband Ripple               | +-.016 dB           |            |
| Stopband Attenuation          | 149 dB              |            |

Figure 3-13 shows the frequency response of the resulting filter.

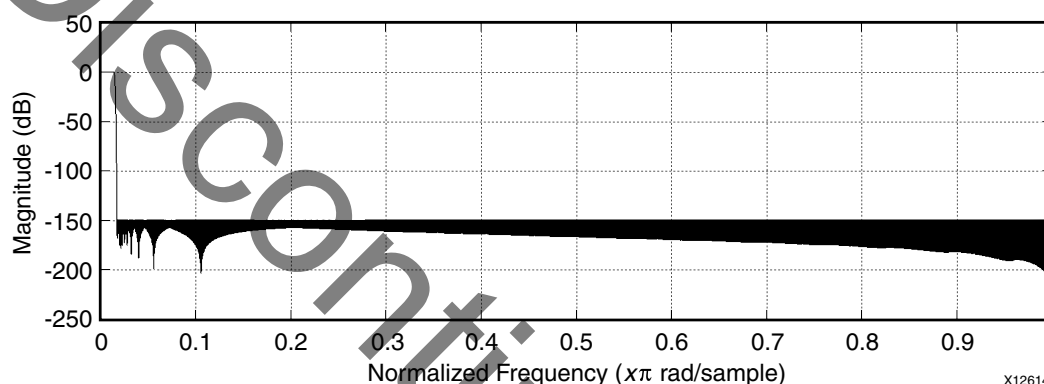


Figure 3-13: Prototype Filter Frequency Response

Figure 3-14 and Figure 3-15 show calculated and measured details of the transition band, respectively, based on measurements through the sample rate converter performing a 48 kHz-to-48 kHz asynchronous conversion.

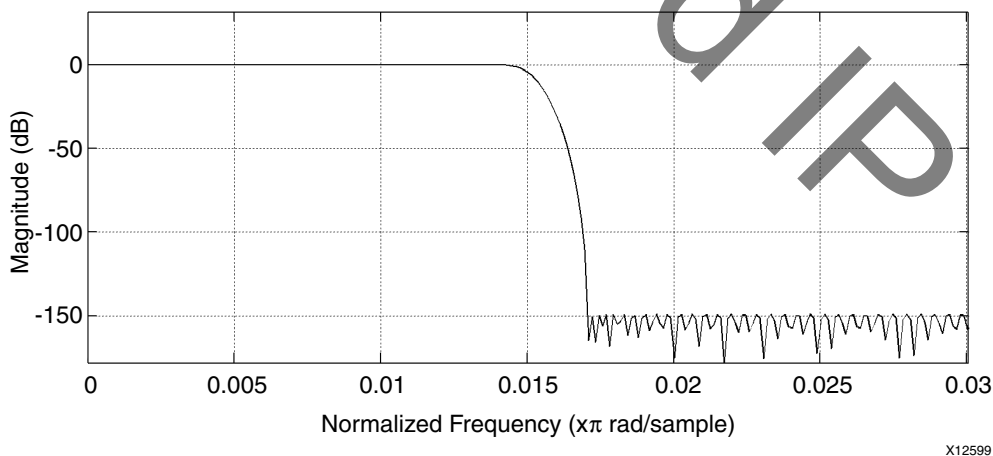


Figure 3-14: Detail of Prototype Filter Transition Band, Calculated

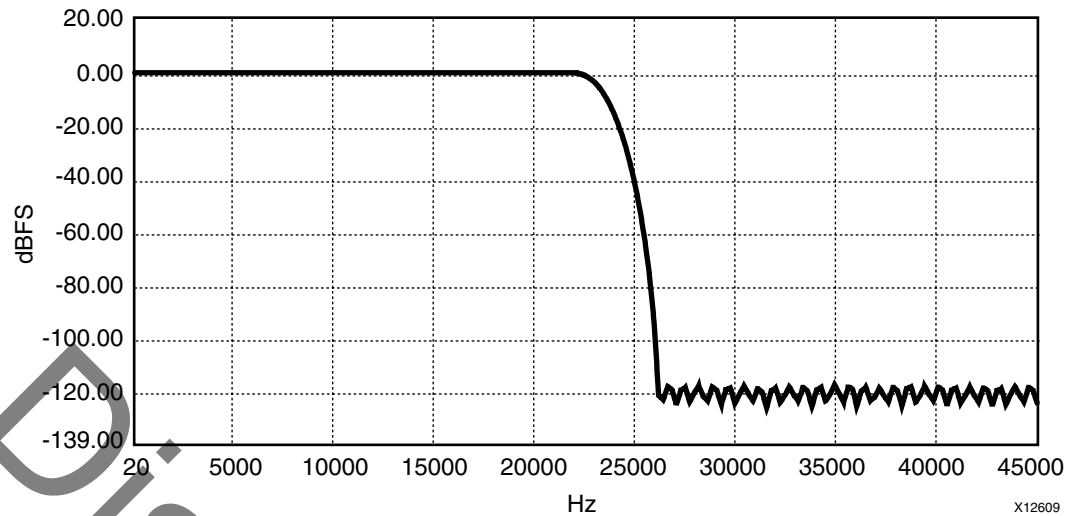


Figure 3-15: Detail of Prototype Filter Transition Band, Measured

Figure 3-16 and Figure 3-17 show calculated and measured details of the passband, respectively, based on measurements through the sample rate converter performing a 48 kHz-to-48 kHz asynchronous conversion.

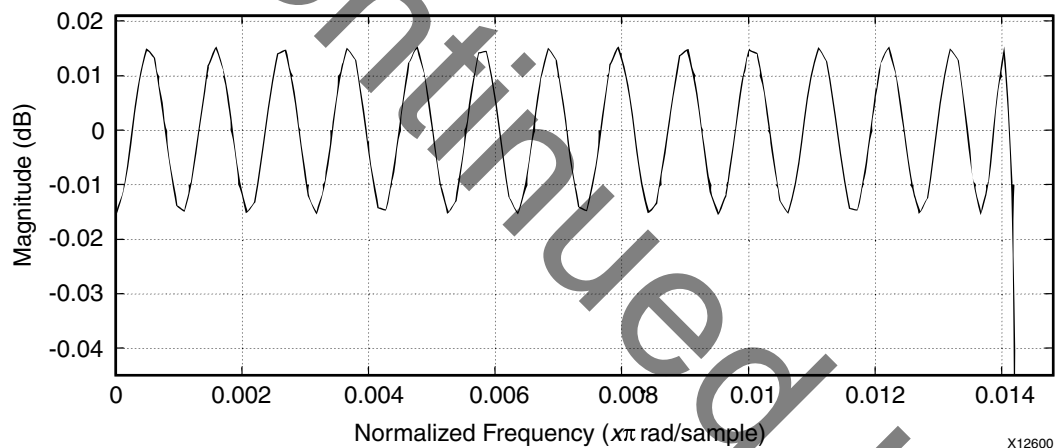


Figure 3-16: Detail of Prototype Filter Pass Band, Calculated

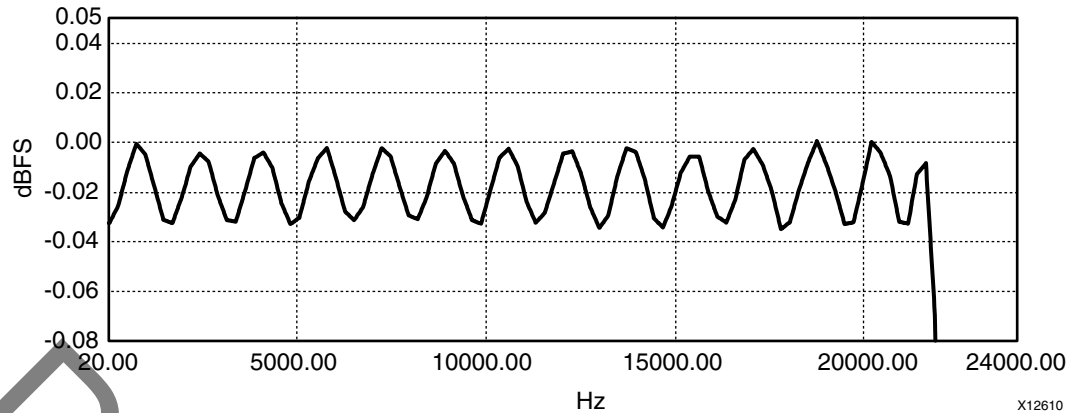


Figure 3-17: Detail of Prototype Filter Pass Band, Measured

## Coefficient Interpolation

The filter coefficients are interpolated with a third-order Lagrange interpolation according to the equation:

$$y = [-(\Delta-1)(\Delta-2)(\Delta-3)/6]h_0 + [\Delta(\Delta-2)(\Delta-3)/2]h_1 + [-\Delta(\Delta-1)(\Delta-3)/2]h_2 + [\Delta(\Delta-1)(\Delta-2)/6]h_3$$

Equation 3-1

where  $h_0$ ,  $h_1$ ,  $h_2$ , and  $h_3$  are four adjacent stored coefficients.  $\Delta$  represents the difference between the location of the coefficients to be calculated (marked with an X) and  $h_0$ , shown in Figure 3-18.

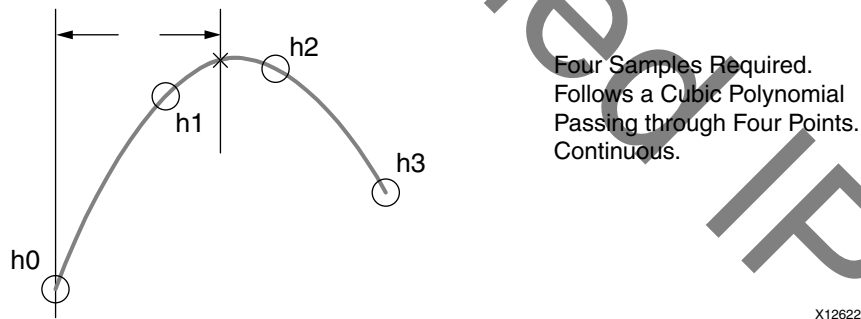


Figure 3-18: Third Order Lagrange Interpolation

To minimize the number of multiplications, the equation is factored to:

$$y = (\Delta-2)(\Delta-3)/2 * [-(\Delta-1)h_0/3 + \Delta h_1] + \Delta(\Delta-1)/2 * [-(\Delta-3)h_2 + (\Delta-2)h_3/3]$$

Equation 3-2

The multiplications are performed using 18 x 18 multiplier blocks configured with four 18x18 multipliers in DSP48 elements. Input multiplexers and output registers for storage of intermediate results are used in conjunction with the multipliers to form multiply/adder

units. Two multiply/adder units are used in parallel for the coefficient interpolation. Each unit operates in a 16-state sequence consisting of four 4-state multiplies.

Figure 3-19 is a block diagram of the coefficient interpolator. The `cf_if` input is the conversion factor from input samples to filter coefficients. This tells how many coefficients correspond to the distance between input samples.

- For up-conversion, `cf_if` is 16.
- For down-conversion, `cf_if` is 16 times the ratio of output rate to input rate.

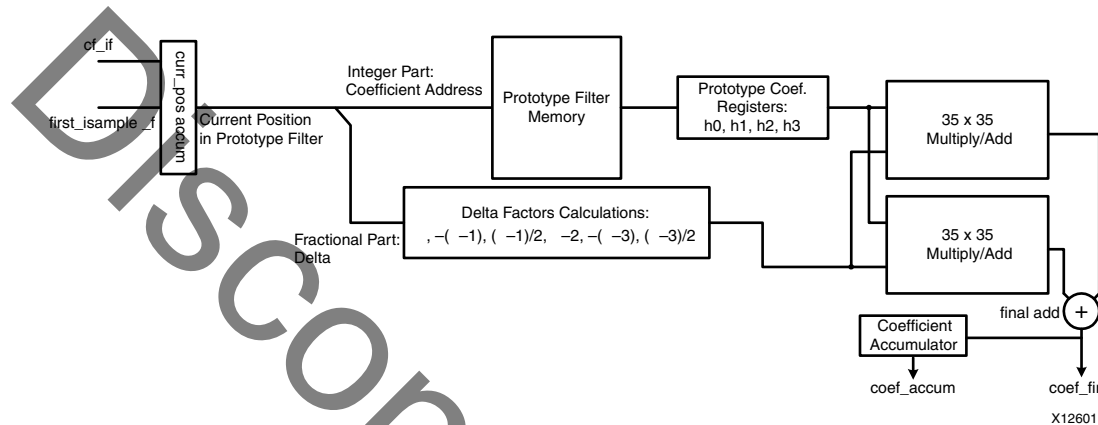


Figure 3-19: Coefficient Interpolator

The input, `first_sample_f`, has the location of the first input sample to be used in the convolution relative to the stored filter coefficients. The `curr_pos_accum` register keeps track of the location of each coefficient to be accumulated relative to the stored coefficients.

When a new output sample calculation is started, `curr_pos_accum` is initialized with `first_sample_f`. As a new filter coefficient is interpolated, `curr_pos_accum` is incremented by `cf_if`. This continues until the end of the stored prototype filter is reached, indicating that all the required coefficients have been interpolated and, consequently, the convolution is complete.

The output of `curr_pos_accum` is the current position of the filter coefficient in filter space. The integer portion of this quantity is the address of the left-most stored filter coefficient to be used in the Lagrange interpolation. The fractional portion is the delta value to be used in the interpolation.

The four coefficients used in the interpolation ( $h_0$ ,  $h_1$ ,  $h_2$ , and  $h_3$ ) are retrieved serially and stored in registers during the 16-state interpolation.

In the same way, several factors are calculated from the  $\Delta$  variable and stored in registers during interpolation. The  $\Delta$  related values, along with the associated stored coefficients, are sent to the two 35 x 35 multiply/add units.

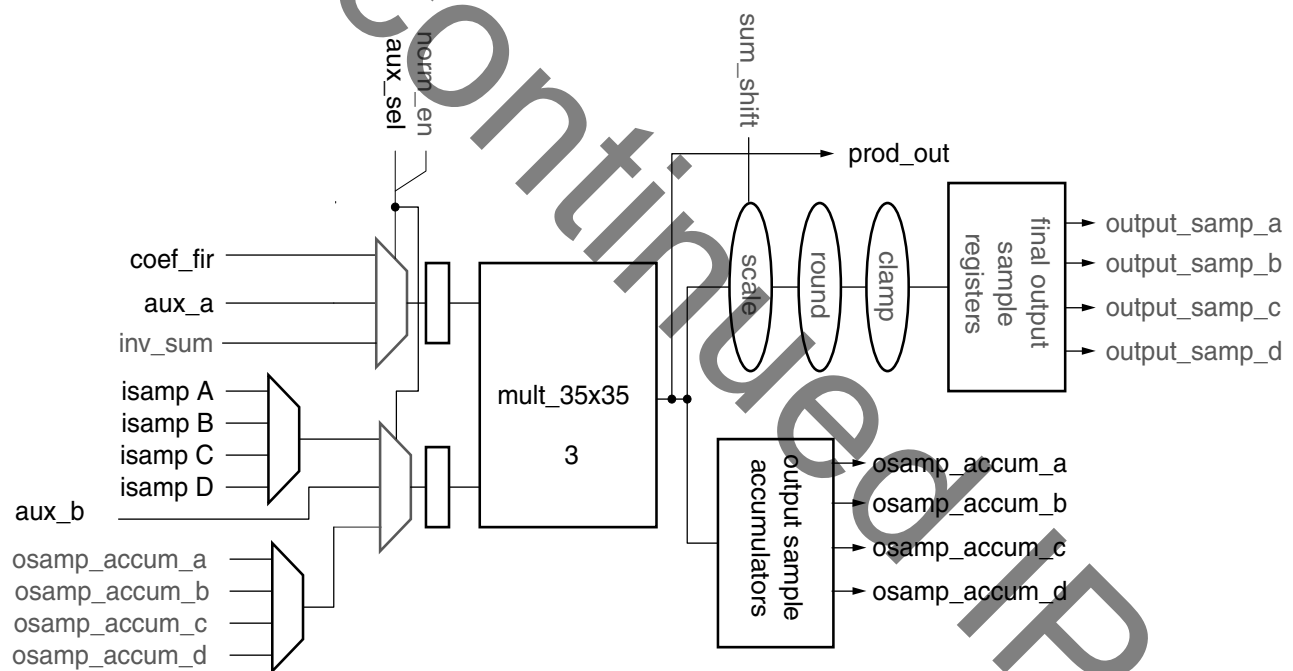
The multiply/add units operate in parallel. These units multiply and sum the terms of the Lagrange interpolation. There is one final addition to produce the interpolated coefficient that is used in the FIR operation, `coef_fir`, as given by Figure 3-20.

As each FIR coefficient is calculated, it is accumulated in the coefficient accumulator to form `coef_accum`, which is subsequently used to normalize the result of the convolution.

- In the case of downsampling, the final `coef_accum` of the convolution is virtually equal to the inverse of the scaling factor required to compensate for the increased length of the convolution.
- In the case of upsampling, the final `coef_accum` is virtually equal to 1 and serves to compensate for small amplitude distortions that might otherwise occur.

## FIR Filter

A block diagram of the FIR Filter section is shown in Figure 3-20.



X12654

Figure 3-20: FIR Filter Block Diagram

The FIR Filter section has three distinct functions:

1. Implements the resampling FIR filter for each of four channels.
2. Performs the sample normalization for each output sample, after the FIR operation is complete.
3. Serves as a general-purpose multiplier for the control section.

For FIR operation, it operates in parallel with coefficient interpolation. As each coefficient is interpolated (labeled `coef_fir` in the figure):

1. It is multiplied by the corresponding input sample (isamp A, B, C, or D).
2. The result is accumulated.

A 35 x 35 multiplier in the FIR Filter unit performs the multiply operations. The multiplier operates on the same 16-cycle sequence as the multipliers in the coefficient interpolator.

Figure 3-21 shows the sequence of operations in this multiplier. Each of the labeled cycles consists of four clocks to perform a 35 x 35 multiply. There are separate accumulators for each channel. At the end of the convolution, each accumulator holds the result of the convolution.

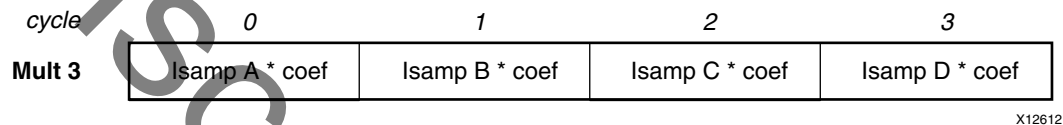


Figure 3-21: Multiplier Cycles for FIR Filter

For sample normalization, the accumulated sample values (`osamp_accum_a`, `osamp_accum_b`, `osamp_accum_c`, or `osamp_accum_d`) are passed back through the multiplier. They are each multiplied by the inverse of the sum of the coefficients (`inv_sum`). This normalizes the output samples, negating gain that might otherwise occur particularly in a downconvert situation.

Because the coefficient sum can have a value from near 1 up to about 8 (in the case of down conversion by the maximum factor of 7.75), a floating-point scheme is used to preserve all significant bits through the multiply operation. The inverse sum (`inv_sum`) is block-normalized prior to being sent to the FIR Filter section. The `sum_shift` input denotes the number of non-zero integer bits and how much `inv_sum` was shifted to the right. Therefore, the result of the multiply must be scaled to compensate for this. Because the sum that was shifted to the right has been inverted before the multiply, the result of the multiply is also shifted to the right to compensate. This is shown in as the scale operation in Figure 3-20.

A rounding operation (nearest, away from zero) to 24 bits is provided, with a corresponding enable. Because the best linearity at low amplitude is obtained with this rounding disabled, it is disabled in the core.

An extra most-significant integer bit is carried through the normalization process to detect an overflow condition. If the input has high-amplitude waves with frequency components above the Nyquist rate ( $F_s/2$ ), the ringing induced on the sample-rate-converted wave can cause some computed samples to exceed full-scale. In this case, the overflow is detected, and the output sample values are clamped to the maximum full scale value, either positive or negative.

This is shown as the clamp operation in [Figure 3-20](#). Though this causes some additional distortion, it is less severe than toggling the sign bit, which would happen in the absence of clamping. Clamping, and the resulting distortion, does not come in to play in normal operation in which the input waveform frequencies are below the Nyquist rate.

The final result (after scaling, rounding, and clamping) is stored in the final output sample registers. These registers are the outputs of the top-level module.

Up to four audio channels (A, B, C, and D) are accommodated. More channels are accommodated by adding additional instances.

The third function is a general-purpose multiplier for the control section. This function is enabled by the `aux_sel` input. An auxiliary set of inputs and outputs allows this unit to perform the additional, unrelated multiplications. These auxiliary multiplications are used by the control section for such functions as converting locations from input sample space to filter coefficient space. The output of the auxiliary multiplies appears on the `prod_out` output.

### Signed Fractional Divider

The `divide_sign_fract` module is a signed 27 x 27 multicycle pipelined divider with 25 fractional output bits and a latency of 53 states. This module:

- Produces the ratio and 1/ratio.
- Produces the inverse of the sum of coefficients ( $1/\text{coef\_accum}$ ) that is used to normalize the result of the sample accumulation. This normalization is performed in the FIR Filter section.

The accuracy of these calculations directly affects the quality of the sample rate conversion. For this reason, a high degree of precision is required. Because the divide operations are done infrequently compared to other operations, the divider is optimized for minimum area, and as a result, low throughput.

A `round_en` input to this module allows the signed fractional result be rounded to 24 bits. If enabled, the rounding function is round nearest, away from zero. In the core, this rounding is enabled.

### Control

The high-level control is contained in the `timing_control_multi_ch` module. The output sample clock starts the sample calculation sequence. Each time an output sample is taken, as indicated by `output_clk`, a new one is calculated.

While ratio detection operates more or less continuously, the resampler operates in bursts. The resampler interpolates a filter phase, performs the convolution each time an output sample is taken. It then idles until the next sample is taken and a new one can be calculated.



The idle time can be very short (for example: the output rate is very high), or the majority of the time (for example, the output rate is low, and the ratio is near 1:1)

The computation starts at the rising edge of the output sample clock and terminates when the end of the prototype filter is reached.

## Timing Control State Machine

The timing control state machine controls the creation of an output sample.

Figure 3-22 is a basic diagram of the state machine.

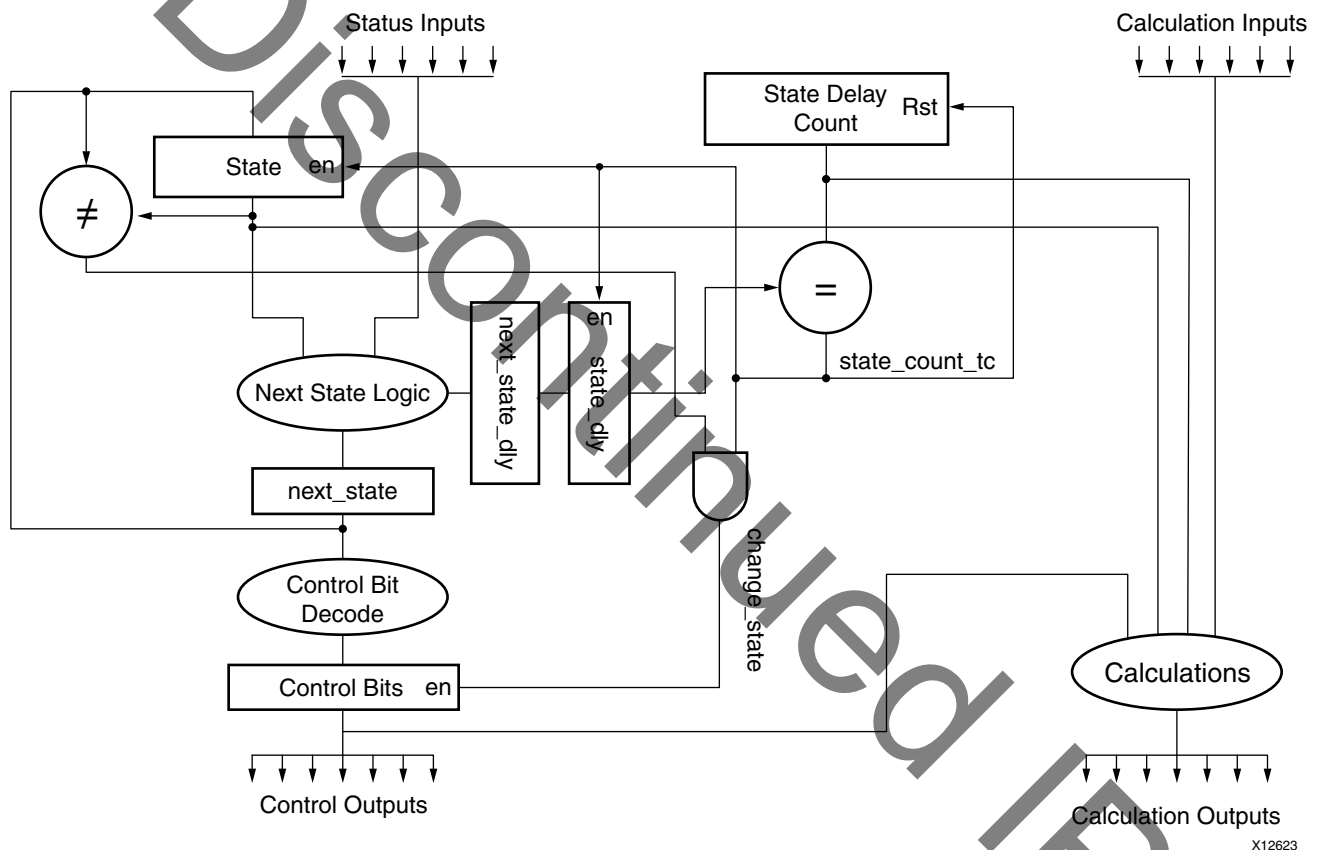


Figure 3-22: Timing Control

The state register holds the current state. A count associated with each state determines the minimum time that each state lasts. State changes occur only when this delay is met. This is determined by the point at which the state delay count times out (indicated by `state_dly_tc`). The status inputs and the current state determine whether a state change occurs.

The main purposes of the state machine are to:

- Control access to shared multiply and divide resources

- Sequence the data
- Load the results into registers at the proper time.

The current state, control bits, and state counter all contribute to the control and timing of these calculations.

Figure 3-23 shows the state diagram of the top-level control state machine in the `timing_control_multi_ch` module.

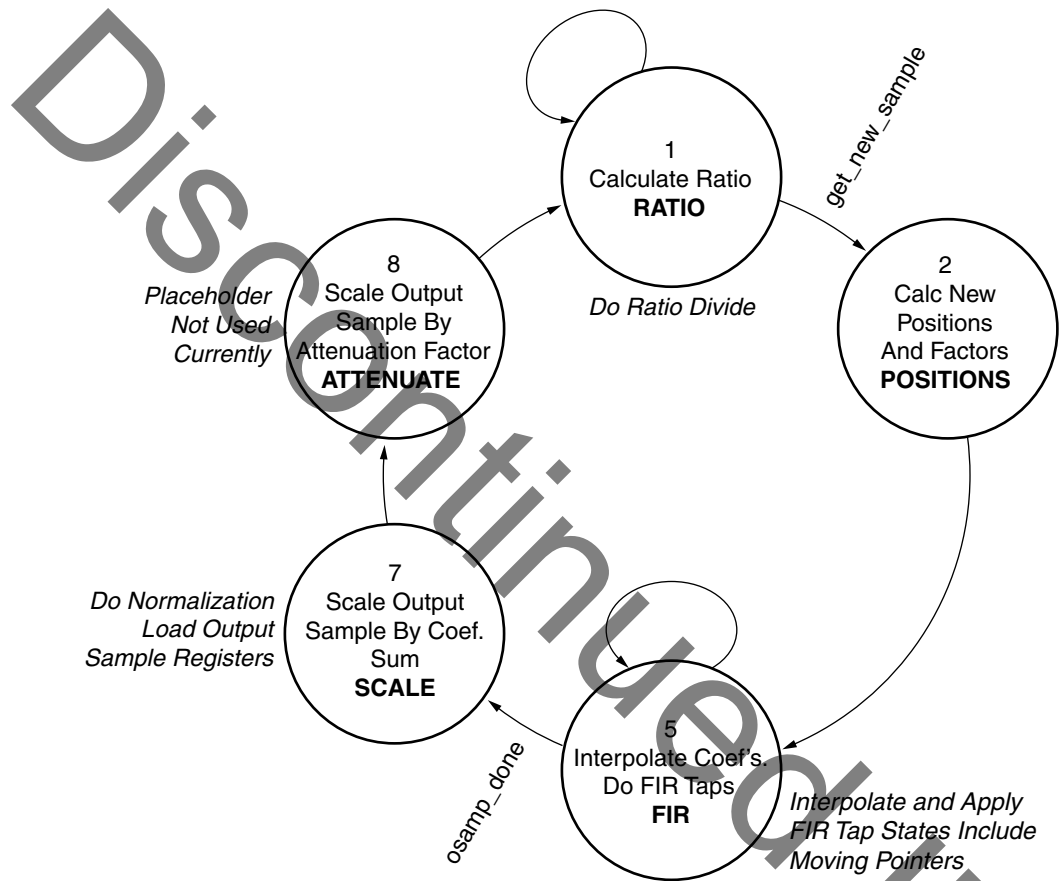


Figure 3-23: Top-Level Control State Machine

## Timing Control State Machine States

This section discusses the timing control state machine states:

- [RATIO State](#)
- [POSITIONS State](#)
- [FIR State](#)
- [SCALE State](#)
- [ATTENUATE State](#)

### RATIO State

The initial state is RATIO. In the RATIO state, the signed fractional divider calculates the ratio from the most recent `in_period_sync` and `out_period_sync` values. The state machine remains in the RATIO state until the `get_new_sample` signal asserts in response to the rising edge of `clkout`.

### POSITIONS State

In the POSITIONS state, a new position for the output sample relative to the input samples is calculated based on the ratio. The two sources are the regulated ratio (`ratio`) and the manual ratio (`manual_ratio`). The start position in the prototype filter is also calculated. The auxiliary functionality of the multiplier in the FIR filter section is used in some of these calculations.

### FIR State

The bulk of the calculations happen during the FIR state. In the FIR state:

- The `go_fir` signal pulses to indicate the start of a new FIR filter sequence.
- The resampler is reset and enabled to interpolate and apply filter coefficients to the input samples.
- The result is a single output sample.

The state machine remains in this state until the `osamp_done` signal is asserted by the resampler indicating that the prototype filter has been traversed, and the FIR filter operation is completed.

### SCALE State

The SCALE state uses `divide_sign_fract` to normalize the accumulated results of the FIR filter. It does so by dividing the accumulated results of the FIR by the accumulated coefficients (`coef_sum`). Each audio channel is normalized and clamped independently to produce the final output sample value.

### ATTENUATE State

The optional ATTENUATE state is included as a state in which attenuation of the output can be performed for fade out or fade in, or overall magnitude control. The auxiliary multiplier functionality can be used to accomplish this.

## Clocking

All data processing in the Asynchronous Sample Rate Converter (ASRC) core is done in the `mclk` (high-speed processing clock) domain. In general, the `mclk` domain has no relationship to either the input or output clock.

The input and output sample clocks are treated as enables that specify when input data is valid and when output data is taken. The period of the sample clocks is used to determine the conversion ratio.

### Timing Requirements for Input Samples

Figure 3-24 shows the timing requirements for input samples. The `clkin` signal is resampled in the `mclk` domain with the circuit shown in Figure 3-11, page 24. The rising edge is used to determine when data is valid. Therefore, setup and hold times are specified in terms of output timing periods.

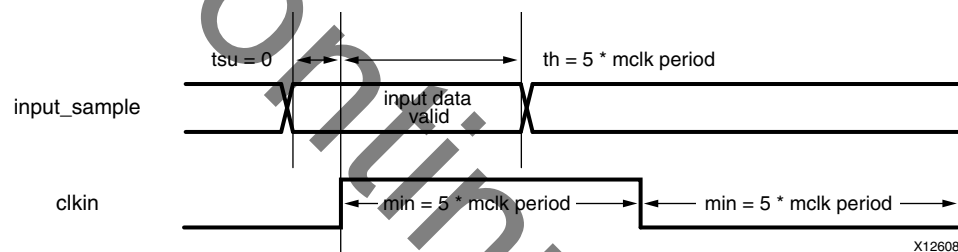


Figure 3-24: Input Timing

Relative to the rising edge of `clkin`, the setup requirement is zero `mclk` periods, and the hold requirement is five `mclk` periods.

For accurate edge detection, the `clkin` signal must be High for a minimum of five `mclk` periods and Low for a minimum of five `mclk` periods.

## Timing Requirements for Output Samples

Figure 3-25 shows the timing characteristics for output samples. Because they are created in the `mclk` domain, the timing specifications are also given in terms of `mclk` periods.

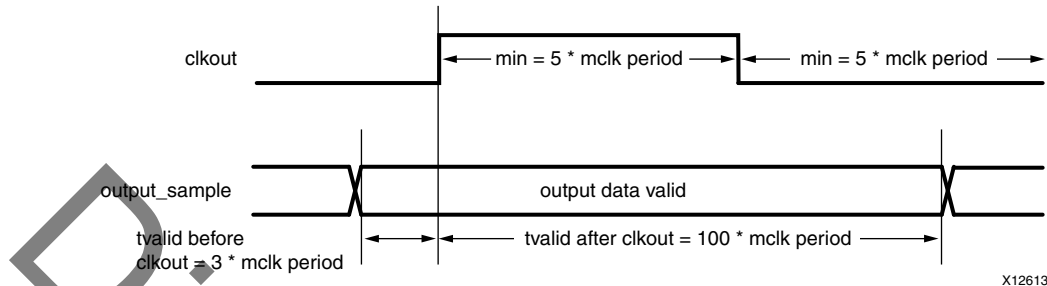


Figure 3-25: Output Timing

Relative to the rising edge of `clkout`, output sample data is valid a minimum of three `mclk` periods before `clkout` and remains valid until the next sample is presented.

The 100 `mclk` periods is given as a conservative minimum time that the output data is valid after the rising edge of `clkout`. Like `clk_in`, `clkout` (an input to the ASRC) is resampled in the `mclk` domain to determine the output sampling rate.

Because of this, `clkout` has a minimum High-time requirement of five `mclk` periods and a minimum Low time of five `mclk` periods.

## Resets

In general, the core does not require resets. Whenever it is not locked to the input, the core continually resets itself and tries to acquire lock.

For this reason, the core resets itself and acquires lock automatically when valid input is applied. If the input audio stream is interrupted, the core resets itself and re-acquires lock when the input is restored.

A reset pin is available to force a reset if needed.

# System Design Considerations

## Audio Performance

This section discusses the performance of the core in terms of:

- THD+N
- Maximum Conversion Ratios
- Sample Frequency Ranges

### THD+N

Typical overall THD+N performance is -133 dB. The performance varies somewhat according to the input and output sample rates and the frequency content of the signal, ranging from -125 dB to -139 dB. To illustrate the performance of the ASRC core, a 1 kHz sine tone was input into the ASRC core for two particular conversion ratios in the presence of worst-case jitter:

- 48 kHz to 48 kHz
- 44.1 kHz to 48 kHz

A 64K point Fast Fourier Transform (FFT) was then taken of the output.

Figure 3-26 shows the FFT output for the 48 kHz-to-48 kHz ratio.

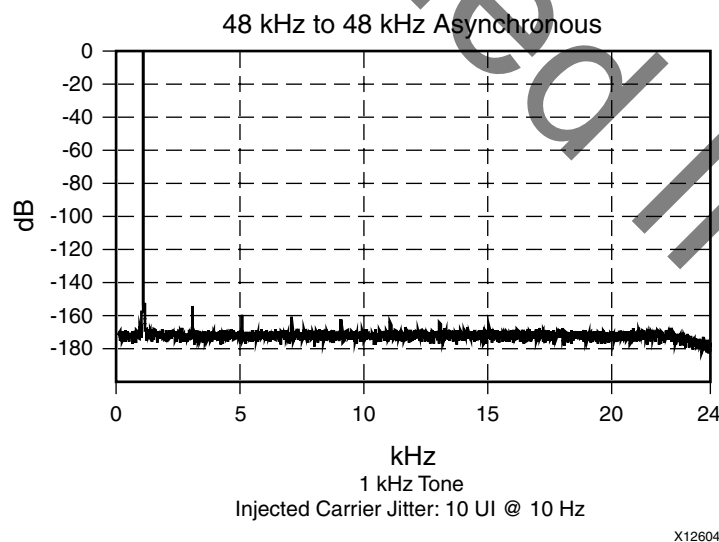


Figure 3-26: FFT for 48 kHz-to-48 kHz Asynchronous Conversion

Figure 3-27 shows the output for the 44.1 kHz-to-48 kHz conversion.

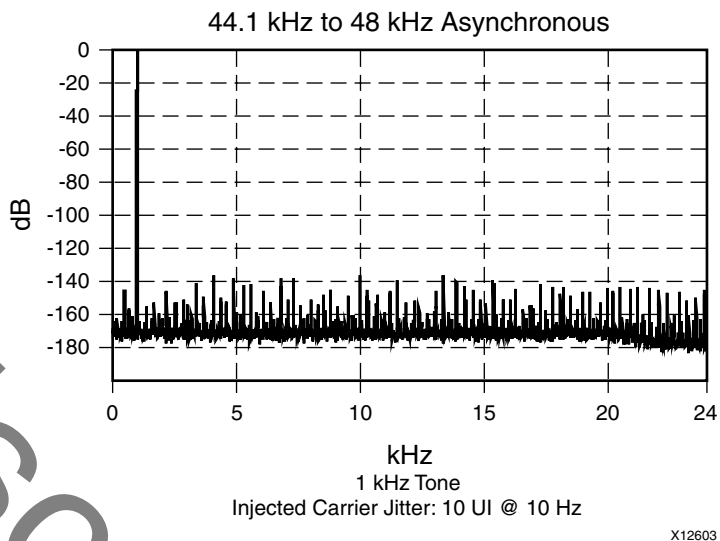


Figure 3-27: FFT for 44.1 kHz to 48 kHz Asynchronous Conversion

Table 3-2 lists performance measurements taken over several common ratios. Measurements were taken with a Prism Sound dScopeIII audio analyzer in the default THD+N measurement mode. Frequency was scanned from 20 Hz to 20 kHz. The readings hold over the jitter tolerance curve shown in Figure 3-5, page 15.

These are examples of possible conversions. The ASRC core allows for virtually infinite combinations of input and output frequencies within the maximum frequency and maximum ratio constraints.

Table 3-2: THD+N Performance vs. Conversion Frequency

| Input Sample Frequency |       | Output Sample Frequency (kHz) |      |      |      |      |
|------------------------|-------|-------------------------------|------|------|------|------|
|                        |       | 32                            | 44.1 | 48   | 88.2 | 96   |
| 32                     | Min   | -135                          | -125 | -125 | -125 | -125 |
|                        | Max   | -137                          | -130 | -137 | -133 | -137 |
|                        | Typ   | -136                          | -127 | -130 | -130 | -135 |
|                        | 1 kHz | -135                          | -128 | -127 | -131 | -135 |
| 44.1                   | Min   | -128                          | -133 | -125 | -126 | -125 |
|                        | Max   | -135                          | -137 | -135 | -137 | -133 |
|                        | Typ   | -130                          | -136 | -129 | -136 | -131 |
|                        | 1 kHz | -131                          | -135 | -126 | -135 | -129 |

Table 3-2: THD+N Performance vs. Conversion Frequency (Cont'd)

| Input Sample Frequency |       | Output Sample Frequency (kHz) |      |      |      |      |
|------------------------|-------|-------------------------------|------|------|------|------|
|                        |       | 32                            | 44.1 | 48   | 88.2 | 96   |
| 48                     | Min   | -125                          | -126 | -135 | -128 | -127 |
|                        | Max   | -137                          | -131 | -138 | -132 | -137 |
|                        | Typ   | -132                          | -128 | -136 | -131 | -136 |
|                        | 1 kHz | -133                          | -127 | -136 | -128 | -135 |
| 88.2                   | Min   | -130                          | -134 | -129 | -131 | -128 |
|                        | Max   | -136                          | -137 | -136 | -137 | -134 |
|                        | Typ   | -132                          | -136 | -131 | -137 | -131 |
|                        | 1 kHz | -133                          | -136 | -129 | -135 | -130 |
| 96                     | Min   | -136                          | -129 | -136 | -128 | -134 |
|                        | Max   | -137                          | -133 | -139 | -134 | -138 |
|                        | Typ   | -137                          | -131 | -137 | -132 | -137 |
|                        | 1 kHz | -136                          | -130 | -137 | -129 | -136 |

## Maximum Conversion Ratios

The maximum up-conversion ratio is 8:1. The maximum down-conversion ratio is 1:7.5. The range of the up-conversion is limited by the number of integer bits in the ratio calculation. The down-conversion ratio is limited by the amount of input sample storage memory and how much of this memory is allocated to the input FIFO.

## Sample Frequency Ranges

The sample frequency ranges, shown in Table 3-3, are valid for a design with a 250 MHz `mclk`.

Table 3-3: Sample Frequency Ranges

| Input            | Output           |
|------------------|------------------|
| 8 kHz to 196 kHz | 8 kHz to 196 kHz |

A slower or faster `mclk` reduces or increases both minimum and maximum sample frequency in approximate proportion to the change in `mclk`.

- The upper limit is a factor of processing clock frequency.
- The lower limit is a function of the width of the period counters and the processing clock frequency.



# Customizing and Generating the Core

This chapter includes information about using Xilinx tools to customize and generate the core in the Vivado Design Suite environment.

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## Vivado Integrated Design Environment (IDE)

You can customize the IP for use in your design by specifying values for the various parameters associated with the IP core using the following steps:

1. Select the IP from the IP catalog.
2. Double-click on the selected IP or select the Customize IP command from the toolbar or popup menu.

For details, see the sections, "Working with IP" and "Customizing IP for the Design" in the *Vivado Design Suite User Guide: Designing with IP* ([UG896](#)) [[Ref 3](#)] and the "Working with the Vivado IDE" section in the *Vivado Design Suite User Guide: Getting Started* ([UG910](#)) [[Ref 6](#)].

If you are customizing and generating the core in the Vivado IP Integrator, see the *Vivado Design Suite User Guide: Designing IP Subsystems Using IP Integrator* (UG994) [[Ref 8](#)] for detailed information. IP Integrator might auto-compute certain configuration values when validating or generating the design. To check whether the values do change, see the description of the parameter in this chapter. To view the parameter value you can run the `validate_bd_design` command in the Tcl console.

**Note:** Figures in this chapter are illustrations of the Vivado IDE. This layout might vary from the current version.

---

## Interface

The Asynchronous Sample Rate Converter (ASRC) core can be generated by instantiation, using the Vivado® design tools graphical user interface (GUI).

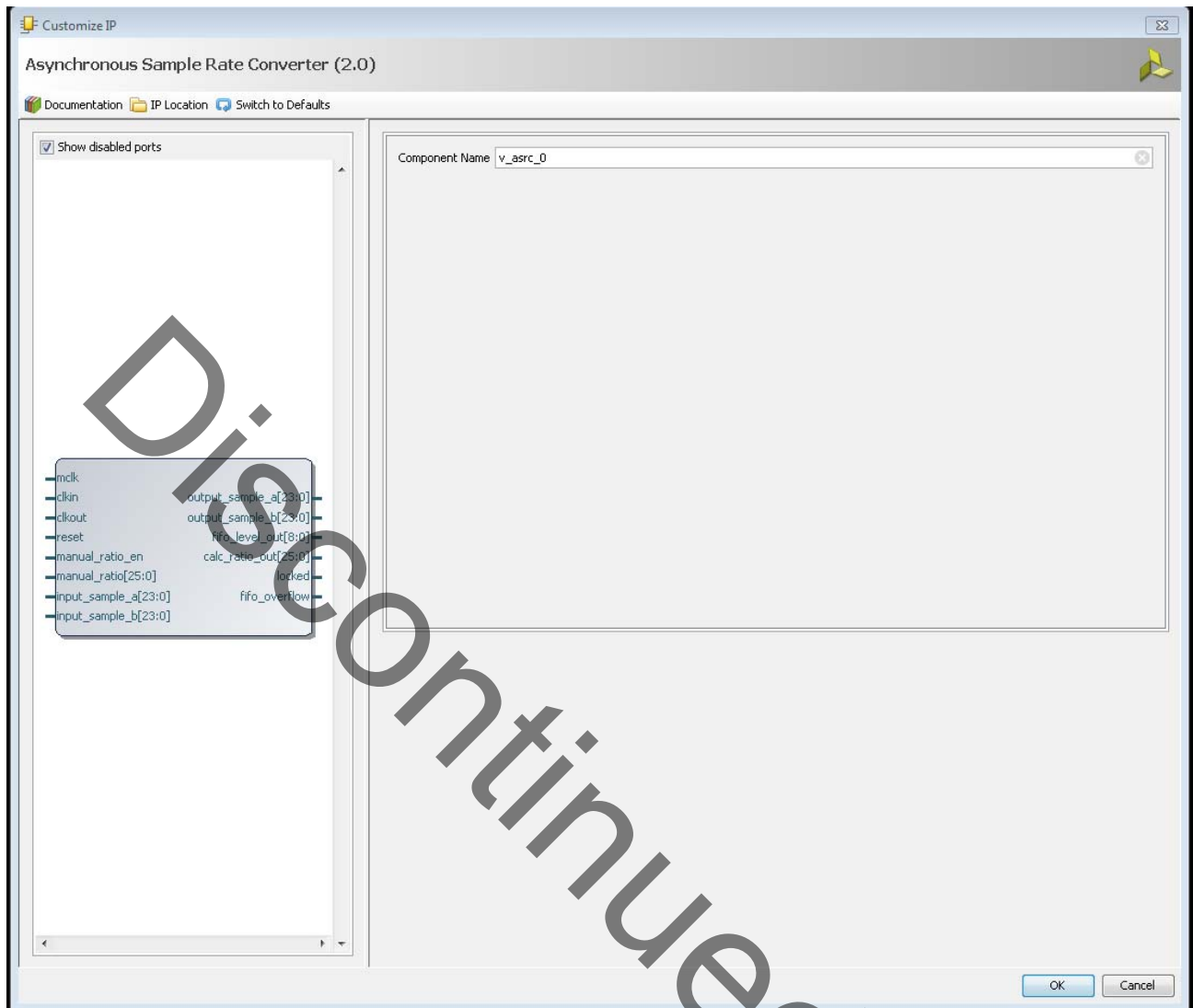


Figure 4-1: Asynchronous Sample Rate Converter Main Screen

The screen (Figure 4-1) shows a representation of the IP symbol on the left side and the parameters on the right, which are described as follows. There is only one user-selectable parameter:

- **Component Name:** The component name is used as the base name of output files generated for the module. Names must begin with a letter and must be composed from characters: a to z, 0 to 9 and "\_".

## Output Files

For details, see “Generating IP Output Products” in the *Vivado Design Suite User Guide: Designing with IP* ([UG896](#)).

## Modules

Table 4-1 defines the modules associated with the ASRC core.

Table 4-1: ASRC Modules

| Module Name                         | Description   |
|-------------------------------------|---|
| v_asrc_v2_0                         | This top-level wrapper instantiates and connects the lower-level modules and provides the I/O interface.  |
| v_asrc_v2_0_timing_control_multi_ch | Contains the master state machine that controls the creation of each output sample. Instantiates the divider that is used for ratio calculation and normalizing the output samples.   |
| v_asrc_v2_0_divide_sign_fract       | 27 x 27 bit signed serial divider. The quotient has 27 integer and 26 fractional bits. This divider is used to: <ul style="list-style-type: none"> <li>calculate the ratio of output sample rate to input sample rate, and</li> <li>normalize output samples based on the sum of input coefficients.</li> </ul> |
| v_asrc_v2_0_ring_buffer_gold        | Pointers and control for the ring buffer memory. The ring buffer stores incoming samples and provides the sample stream to fir_gold. One instance is required for each pair of channels.  |
| v_asrc_v2_0_buffer_mem_gold         | 48 x 512 dual-port RAM for the ring buffer.   |
| v_asrc_v2_0_ratio_calc              | This module contains the counters for determining input and output sample rates. These rates are sent to the shared divider and the calculated ratio is returned. It also determines the feedback error term based on FIFO level and regulates the ratio accordingly.   |
| v_asrc_v2_0_ratio_filt              | Instantiates moving_ave_26 and determines when a new ratio has been calculated, and when the filter should be bypassed.   |
| v_asrc_v2_0_moving_ave_26           | Performs a 16-tap moving average filter on the calculated ratio.  |
| v_asrc_v2_0_filt_interp_gold        | Performs the Lagrange interpolation on the prototype filter. Interpolates a filter coefficient for every input sample in the FIR filter operation.  |
| v_asrc_v2_0_filt_mem_gold           | 24 x 2048 single port ROM containing the prototype filter. The prototype filter is 4097 coefficients and symmetrical. The middle coefficient is stored separately.  |

Table 4-1: ASRC Modules (Cont'd)

| Module Name                     | Description   |
|---------------------------------|---|
| v_asrc_v2_0_mult35x35_hdl       | 35 x 35 multiplier using four DSP slices. The multipliers are inferred from HDL code.   |
| v_asrc_v2_0_mult_one_third_dual | Constant coefficient multiplier implements a divide by 3 on a 24-bit number. Performs two multiplies in a time-slice fashion.   |
| v_asrc_v2_0_fir_gold_4ch        | Performs a 64-tap FIR filter for each output sample for up to four channels. One instance is required for each set of four channels. Data comes from <code>filt_interp_gold</code> . Coefficients come from <code>filt_interp_gold</code> . |

Discontinued IP

## Module Hierarchy

Figure 4-2 shows the hierarchy of the modules and their relation to the functional blocks.

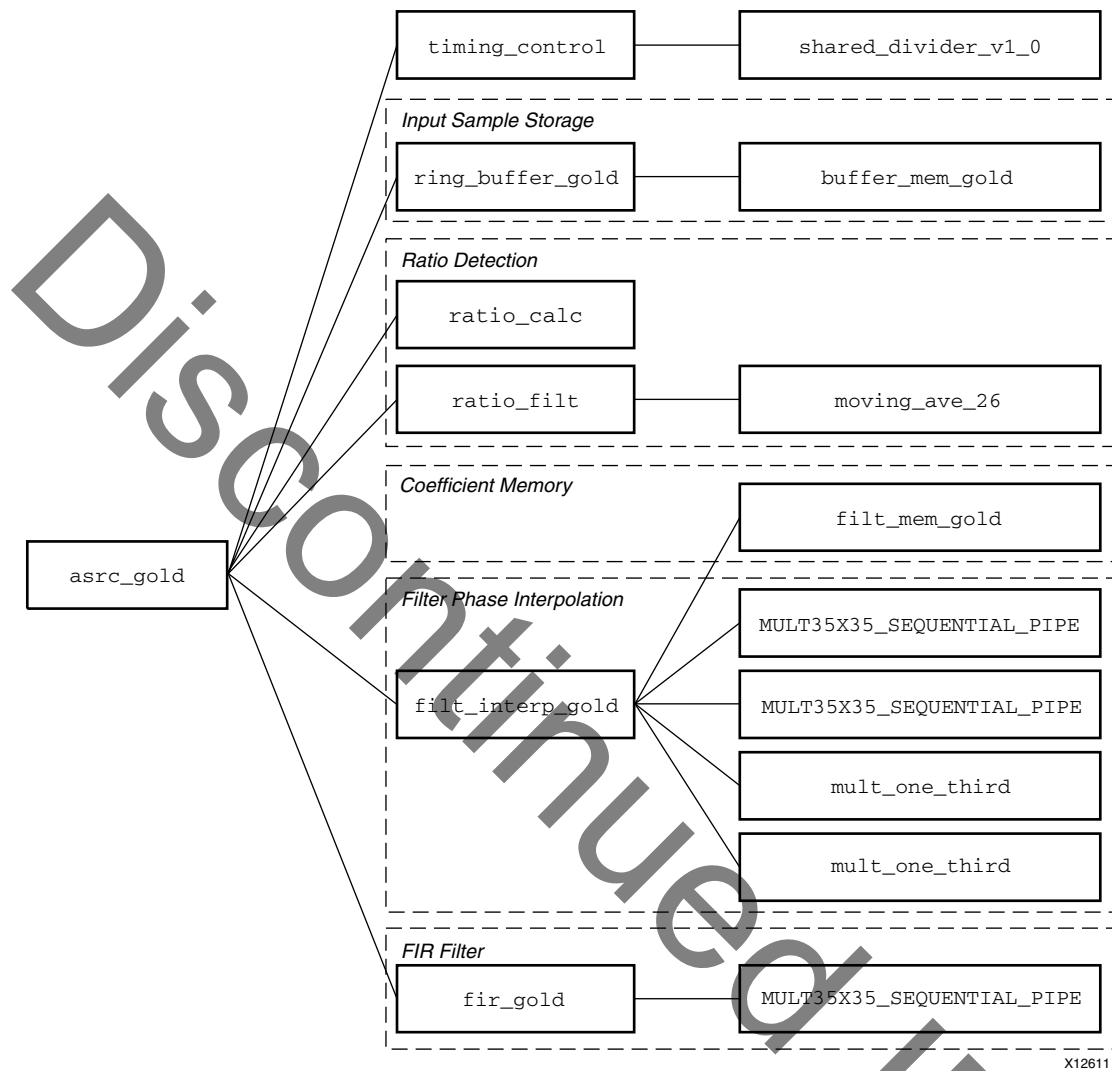


Figure 4-2: Module Hierarchy and Relation to Functional Blocks

## Constraining the Core

This chapter contains information about constraining the core in the Vivado™ Design Suite environment.

### Required Constraints

The delivered Constraint File (XDC) provides constraints, specifically multicyle path constraints. These constraints ease timing on certain paths to make higher `mclk` performance possible.

The `mclk` is the only signal that is used as a clock. A sample of this constraint is included below. It should be modified according to the conversion ratios desired and the target device.

```
create_clock -name mclk -period 6.666 [get_ports mclk]
```

### Device, Package, and Speed Grade Selections

The frequency of the processing clock (`mclk`) determines which range of audio frequencies can be converted. The device and speed grade selection should be made with the target `mclk` frequency in mind.

### Clock Frequencies

The minimum required processing clock frequencies for up-conversion is shown in [Equation 5-1](#).

$$F_{mclk} = F_{sout} * 1350$$

*Equation 5-1*

The minimum required frequency for down-conversion is shown in [Equation 5-2](#).

$$F_{mclk} = F_{sin} * 1030 + F_{sout} * 295$$

*Equation 5-2*

For example, a down-conversion from 192 kHz to 48 kHz requires a clock frequency of  $192 \text{ kHz} \times 1030 + 48 \text{ kHz} \times 295 = 221.72$ . See [Performance in Chapter 2](#) for the clock frequency performance of the FPGA families.

[Table 5-1](#) shows approximate clock frequencies required for some common conversions. These values reflect the formulas for up-conversion and down-conversion.

**Table 5-1: Approximate Processing Clock Frequencies (MHz) Required for Various Conversions**

| Input Sample Frequency (kHz) | Output Sample Frequency (kHz) |      |     |      |     |     |     |
|------------------------------|-------------------------------|------|-----|------|-----|-----|-----|
|                              | 32                            | 44.1 | 48  | 88.2 | 96  | 144 | 192 |
| 32                           | 45                            | 60   | 65  | 120  | 130 | 195 | 260 |
| 44.1                         | 55                            | 60   | 65  | 120  | 130 | 195 | 260 |
| 48                           | 60                            | 65   | 65  | 120  | 130 | 195 | 260 |
| 88.2                         | 105                           | 105  | 110 | 120  | 130 | 195 | 260 |
| 96                           | 110                           | 115  | 115 | 125  | 130 | 195 | 260 |
| 144                          | 160                           | 165  | 165 | 175  | 180 | 195 | 260 |
| 192                          | 210                           | 215  | 215 | 225  | 230 | 245 | 260 |

## Simulation

For comprehensive information about Vivado® simulation components, as well as information about using supported third party tools, see the *Vivado Design Suite User Guide: Logic Simulation* (UG900) [\[Ref 7\]](#).

Discontinued IP



# Synthesis and Implementation

For details about synthesis and implementation, see “Synthesizing IP” and “Implementing IP” in the *Vivado Design Suite User Guide: Designing with IP* ([UG896](#)) [[Ref 3](#)].

Discontinued IP

## Detailed Example Design

A detailed example design using the Asynchronous Sample Rate Converter is included in XAPP1014 Chapter 18 of XAPP1014 [Ref 4], Refer to the *Reference Design* section of this chapter for a detailed example of how this core may be used in a system.

Discontinued IP

# Test Bench

This chapter contains information about the provided test bench in the Vivado® Design Suite environment.

---

## Demonstration Test Bench

A demonstration test bench is provided with the core which enables you to observe core behavior in a typical scenario. This test bench is generated together with the core in Vivado Design Suite. You are encouraged to make simple modifications to the configurations and observe the changes in the waveform.

## Directory and File Contents

The following files are expected to be generated in the in the demonstration test bench output directory:

The testbench

- `tb_<IP_instance_name>.v`

The input data files:

- `hex_1k_441.mif`
- `hex_20k_441.mif`

The behavioral reference design:

- `v_asrc_v2_0_mult35x35_hdl_ref.v`
- `v_asrc_v2_0_ring_buffer_gold_ref.v`
- `v_asrc_v2_0_moving_ave_26_ref.v`
- `v_asrc_v2_0_shift_reg_27x16_ref.v`
- `v_asrc_v2_0_fir_gold_4ch_ref.v`
- `v_asrc_v2_0_buffer_mem_gold_ref.v`
- `v_asrc_v2_0_filt_interp_gold_ref.v`

- v\_asrc\_v2\_0\_filter\_mem\_gold\_ref.v
- v\_asrc\_v2\_0\_ratio\_calc\_ref.v
- v\_asrc\_v2\_0\_mult\_one\_third\_dual\_ref.v
- v\_asrc\_v2\_0\_ref.v
- v\_asrc\_v2\_0\_ratio\_filt\_ref.v
- v\_asrc\_v2\_0\_divide\_sign\_fract\_ref.v
- v\_asrc\_v2\_0\_timing\_control\_multi\_ch\_ref.v

## Test Bench Structure

The top-level entity is **tb\_<IP\_instance\_name>**.

It instantiates the following modules:

- UDUT

The <IP> core instance under test.

- ref\_mod

The top level of reference behavioral verilog model. This reference model generates the golden data for comparison.

# Migrating and Upgrading

This appendix contains information about migrating from an ISE design to the Vivado Design Suite, and for upgrading to a more recent version of the IP core. For customers upgrading their IP core, important details (where applicable) about any port changes and other impact to user logic are included.

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## Migrating to the Vivado Design Suite

For information about migration to Vivado Design Suite, see *ISE to Vivado Design Suite Migration Guide* (UG911) [\[Ref 2\]](#).

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## Upgrading in Vivado Design Suite

This section provides information about any changes to the user logic or port designations that take place when you upgrade to a more current version of this IP core in the Vivado Design Suite.

### Parameter Changes

There are no parameter changes.

### Port Changes

There are no port changes.

### Other Changes

From v1.0 to v2.0 of the core, the following change took place:

- Removed ISE support.

# Debugging

This appendix includes details about resources available on the Xilinx Support website and debugging tools.

---

## Finding Help on Xilinx.com

To help in the design and debug process when using the Asynchronous Sample Rate Converter, the [Xilinx Support web page](http://www.xilinx.com/support) ([www.xilinx.com/support](http://www.xilinx.com/support)) contains key resources such as product documentation, release notes, answer records, information about known issues, and links for opening a Technical Support WebCase.

### Documentation

This product guide is the main document associated with the Asynchronous Sample Rate Converter. This guide, along with documentation related to all products that aid in the design process, can be found on the Xilinx Support web page ([www.xilinx.com/support](http://www.xilinx.com/support)) or by using the Xilinx Documentation Navigator.

Download the Xilinx Documentation Navigator from the Design Tools tab on the Downloads page ([www.xilinx.com/download](http://www.xilinx.com/download)). For more information about this tool and the features available, open the online help after installation.

### Answer Records

Answer Records include information about commonly encountered problems, helpful information on how to resolve these problems, and any known issues with a Xilinx product. Answer Records are created and maintained daily ensuring that users have access to the most accurate information available.

Answer Records for this core are listed below, and can also be located by using the Search Support box on the main [Xilinx support web page](http://www.xilinx.com/support). To maximize your search results, use proper keywords such as

- Product name
- Tool message(s)

- Summary of the issue encountered

A filter search is available after results are returned to further target the results.

### Answer Records for the Asynchronous Sample Rate Converter Core

[AR 54516](#)

## Contacting Technical Support

Xilinx provides technical support at [www.xilinx.com/support](http://www.xilinx.com/support) for this LogiCORE™ IP product when used as described in the product documentation. Xilinx cannot guarantee timing, functionality, or support of product if implemented in devices that are not defined in the documentation, if customized beyond that allowed in the product documentation, or if changes are made to any section of the design labeled DO NOT MODIFY.

Xilinx provides premier technical support for customers encountering issues that require additional assistance.

To contact Xilinx Technical Support:

1. Navigate to [www.xilinx.com/support](http://www.xilinx.com/support).
2. Open a WebCase by selecting the [WebCase](#) link located under Support Quick Links.

When opening a WebCase, include:

- Target FPGA including package and speed grade.
- All applicable Xilinx Design Tools and simulator software versions.
- Additional files based on the specific issue might also be required. See the relevant sections in this debug guide for guidelines about which file(s) to include with the WebCase.

**Note:** Access to WebCase is not available in all cases. Please login to the WebCase tool to see your specific support options.

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## Debug Tools

There are many tools available to address Asynchronous Sample Rate Converter design issues. It is important to know which tools are useful for debugging various situations.

### Vivado Lab Tools

Vivado inserts logic analyzer and virtual I/O cores directly into your design. Vivado Lab Tools allows you to set trigger conditions to capture application and integrated block port

signals in hardware. Captured signals can then be analyzed. This feature represents the functionality in the Vivado IDE that is used for logic debugging and validation of a design running in Xilinx FPGA devices in hardware.

The Vivado logic analyzer is used to interact with the logic debug LogiCORE IP cores, including:

- ILA 2.0 (and later versions)
- VIO 2.0 (and later versions)

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## Hardware Debug

This section provides debug steps for common issues. The ChipScope debugging tool is a valuable resource to use in hardware debug. The signal names mentioned in the following individual sections can be probed using the ChipScope debugging tool for debugging the specific problems.

Details are provided on:

- General Checks
- ASRC specific debug tips.

### General Checks

Ensure that all the timing constraints for the core were properly incorporated and that all constraints were met during implementation.

- Does it work in post-place and route timing simulation? If problems are seen in hardware but not in timing simulation, this could indicate a PCB issue. Ensure that all clock sources are active and clean.
- If using MMCMs in the design, ensure that all MMCMs have obtained lock by monitoring the `LOCKED` port.

It is important to understand that `mclk` is the only signal that is used as a clock in the ASRC. The `clk_in` and `clk_out` audio rate pulses are sampled on `mclk` and used to determine when new data is present on the input, and when data has been taken on the output. These pulses are also used to determine the ratio of output sample rate to input sample rate which regulates the filtering operations. Since `clk_in` and `clk_out` are sampled asynchronously, it is important that they be wide enough, and that the data meets the timing requirements described in the [Clocking in Chapter 3](#).

The main signals useful for debug are "locked" and "overflow". In automatic ratio mode, the locked bit will go high, and the overflow bit will go low within about 2s of when `clk_in` and `clk_out` are applied and stable. Both of these signals are derived by looking at the level of



the input FIFO. The automatic ratio mode seeks to keep the input FIFO level in a very narrow range near 16, meaning that the FIFO is not filling or emptying, but rather, maintaining a constant level. When this target level is maintained for several thousand sample times, the locked bit is asserted.

The overflow bit indicates that the FIFO level differs from the target level by a 16 or more, such that input samples may be lost from the FIFO causing severe degradation in audio quality.

If the ratio of the input to output clocks is known to a high degree of accuracy, manual ratio mode can be used for diagnostic purposes. The ratio can be applied in manual mode to test the quality of the ASRC filtering. Note in manual mode, "locked" and "overflow" are still operational, although it is not essential that "locked" be asserted to get maximum audio quality. If the manual ratio matches, or tracks the actual ratio of the clocks, then the FIFO level should be stable, as indicated by `fifo_level_out`. If this is the case, the output audio quality will be good, even though the FIFO level is not precisely at the target. On the other hand, if overflow is asserted, it means there is a danger of the samples being dropped from the FIFO, affecting output audio quality. Therefore it is important, even in manual mode, that the FIFO level (`fifo_level_out`) be maintained within the limits 0-32.

In manual mode, a close approximation of the ratio is calculated and output on the `calc_ratio_out`. It is useful to examine this calculated ratio to know the approximate ratio that is used in the automatic ratio mode, however this calculated ratio is only accurate to approximately .2%, and is thus not stable enough to use directly as the `manual_ratio` input. The `manual_ratio` must be much more accurate to operate for a sustained period of time without overflow/underflow.

# Additional Resources

## Xilinx Resources

For support resources such as Answers, Documentation, Downloads, and Forums, see the Xilinx Support website at:

[www.xilinx.com/support](http://www.xilinx.com/support).

For a glossary of technical terms used in Xilinx documentation, see:

[www.xilinx.com/company/terms.htm](http://www.xilinx.com/company/terms.htm).

## References

These documents provide supplemental material useful with this product guide:

1. Audio Engineering Society, Inc. ([www.aes.org](http://www.aes.org))
  - AES5-2003, AES Recommended Practice for Professional Digital Audio-Preferred sampling frequencies for applications employing pulse-code modulation
  - AES3-2003, AES Recommended Practice for Digital Audio Engineering-Serial transmission format for two-channel linearly represented digital audio data
2. *ISE to Vivado Design Suite Migration Guide* ([UG911](#))
3. *Vivado Design Suite User Guide: Designing with IP* ([UG896](#))
4. *Audio/Video Connectivity Solutions for Virtex-5 FPGAs* ([XAPP1014](#))
5. *Vivado Design Suite User Guide: Programming and Debugging* ([UG908](#))
6. *Vivado Design Suite User Guide: Getting Started* ([UG910](#))
7. *Vivado Design Suite User Guide: Logic Simulation* ([UG900](#))
8. *Vivado Design Suite User Guide: Designing IP Subsystems Using IP Integrator* ([UG994](#))

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## Revision History

The following table shows the revision history for this document.

| Date     | Version | Revision  |
|----------|---------|---|
| 04/24/12 | 1.0     | Initial Xilinx release.   |
| 12/18/12 | 1.1     | Updated for tools versions, added Vivado section, and updated the Debugging appendix. |
| 03/20/13 | 1.2     | Updated for core version. Removed ISE chapters.                                       |
| 10/02/13 | 2.0     | Synch doc version with core version. Updated Test Bench chapter.                      |

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